PULSE FREQUENCY MODULATION ZCS FLYBACK CONVERTER IN INVERTER APPLICATIONS

by

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A dissertation submitted in partial fulfillment of the requirements for the degree of Doctor of Philosophy in the School of Electrical Engineering and Computer Science in the College of Engineering and Computer Science at the University of Central Florida Orlando, Florida

Spring Term 2009

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ABSTRACT

Renewable energy source plays an important role in energy co-generation and distribution. A traditional solar-based inverter system has two stages cascaded, which has simpler controller but low efficiency. A new solar-based single-stage grid-connected inverter system can achieve higher efficiency by reducing the power semiconductor switching loss and output stable and synchronizing sinusoid current into the utility grid.

In Chapter 1, the characteristic I-V and P-V curve of PV array has been illustrated. Based on prediction of the PV power capacity installed on the grid-connected and off-grid, the trends of grid-tied inverter for DG system have been analyzed.

In Chapter 2, the topologies of single-phase grid-connect inverter system have been listed and compared. The key parameters of all these topologies are listed in a table in terms of topology, power decoupling, isolation, bi-directional/uni-directional, power rating, switching frequency, efficiency and input voltage.

In Chapter 3, to reduce the capacitance of input filter, an active filter has been proposed, which will eliminate the 120/100Hz low frequency ripple from the PV array's output voltage completely. A feedforward controller is proposed to optimize the step response of PV array output voltage. A sample and hold also is used to provide the 120/100Hz low frequency decoupling between the controller of active filter and inverter stage.

In Chapter 4, the single-stage inverter is proposed. Compared with conventional twostage inverter, which has two high frequency switching stages cascaded, the single-stage inverter system increases the system efficiency by utilizing DC/DC converter to generate rectified sinusoid voltage. A transformer analysis is conducted for the single-stage inverter system, which proves the transformer has no low-frequency magnetic flux bias. To apply peak current mode control on single-stage inverter and get unified loop gain, adaptive slope compensation is also proposed for single-stage inverter.

In Chapter 5, a digital controller for single-stage inverter is designed and optimized by the Matlab Control Toolbox. A Psim simulation verified the performance of the digital controller design.

In Chapter 6, three bi-directional single-stage inverter topologies are proposed and compared. A conventional single-stage bi-directional inverter has certain shortcoming that cannot be overcome. A modular grid-connect micro-inverter system with dedicated reactive energy processing unit can overcome certain shortcoming and increase the system efficiency and reliability. A unique controller design is also proposed.

In Chapter 7, a PFM ZCS flyback inverter system is invented. By using half-wave quasiresonant ZCS flyback resonant converter and PFM control, this topology completely eliminates switching loss. A detailed mathematical analysis provides all the key parameters for the inverter design. As the inductance of transformer secondary side get smaller, the power stage transfer function of PFM ZCS flyback inverter system demonstrates nonlinearity. An optimized PFM ZCS flyback DC/DC converter design resolves this issue by introducing a MOSFET on the secondary side of transformer.

In Chapter 8, experimental results of uni-directional single-stage inverter with gridconnection, bi-directional single-stage inverter and single-stage PFM ZCS flyback inverter have been provided.

Conclusions are given in Chapter 9.

To my parents.

ACKNOWLEDGMENTS

I would like to express my sincere gratitude to my advisors, Dr. Issa Batarseh and Dr. Kasemsan Siri, for their guidance, inspiration and support during the course of this work. I would not have been able to complete my research without their extensive knowledge and creative thoughts. Through their enthusiasm and personality, they also gained my most sincere admiration.

I also thank many of my colleagues at University of Central Florida (UCF): Dr. Hussam Al-Atrash, Dr. Xiangcheng Wang, Dr. Peter Kornetzky and Rene Kersten for many enlightening discussions. Their invaluable suggestions were so helpful to my research.

My experience at UCF has been a great period in my life. I am grateful to have the chance to combine an enjoyable education with a productive research atmosphere through the dynamic group at UCF. I wish to thank my fellow researchers: Charles Scholl, Keith Mansfield, Michael Pepper and Nick Shorter for their help and cooperation.

Finally, my heartfelt appreciation goes to my parents, my wife and my daughter for their love and for never giving-up on me.

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LIST OF ACRONYMS/ABBREVIATIONS

CSI	Current Source Inverter
DG	Distributed Generation
EMI	Electromagnetic Interference
IGBT	Insulated Gate Bipolar Transistor
MOSFET	Metal-Oxide Semiconductor Field-Effect Transistor
MPP	Maximum Power Point
MPPT	Maximum Power Point Tracking
PFM	Pulse Frequency Modulation
PWM	Pulse Width Modulation
PV	Photovoltaic
RMS	Root Mean Square
SMD	Surface Mount Device
SPWM	Sinusoidal Pulse Width Modulation
VCO	Voltage Controlled Oscillator
VSI	Voltage Source Inverter
ZCS	Zero Current Switching
ZVS	Zero Voltage Switching
ZOH	Zero Order Hold

CHAPTER ONE: INTRODUCTION

Alternate energy systems are in high demand to minimize dependence on foreign oil imports, reduce significant capital investments for newer centralized power generating units and lower environmental pollution. Additionally and yet equally importantly, such distributed generation potentially reduces the risk of complete blackout in the event of cascaded power system failures, as experienced recently on the U.S. Northeast grid.

Of all the various kinds of renewable energy sources, such as wind, sun and fuel cell, solar energy is one of the best renewable energy sources to be utilized, and it mainly converters the energy from sun light into electrical energy by photovoltaic effect. Solar energy is green and inexhaustible energy, without any pollution to the earth and atmosphere.

The major benefit of designing a reliable, stable, efficient and lower cost photovoltaic power electronics system is the availability of reliable and quality power without relying on the utility grid. It also avoids the major investment in transmission and distribution. For the United States, another major benefit lies in the fact that it reduces greenhouse gas emissions, responding to the increasing energy demands by establishing a new, high-profiled industry. Grid-connected solar power has been developed for more than 10 years, as an alternative energy source to the utility grid, especially at remote areas and in developing countries, where the utility is not stable. Due to these reasons, the grid-connected solar power market has annual growth of 10% globally, which is \$276 million in 2003, and will be \$445 million in 2008 [1].



Figure 1.1: Cumulative Installed Grid-Connected and Off-Grid PV Power Capacity [2]

As shown in Figure 1.1, during 2005, there was an installed PV capacity over 1GW globally. Then the total global PV capacity was increased to 3.7GW. Germany and Japan have the greatest proportion of global PV capacity, which is about 85% [2]. As Figure 1.1 shows, grid-connected applications are now 78% of the global market, which is feeding power into the electrical distribution network [3]. The fast growing PV market has driven down the cost of PV array.

A photovoltaic cell is a device that converts light energy into electrical energy directly. Figure 1.2 shows the basic I-V curve of PV array, which is nonlinear source. In general, the current of PV array will drop slowly when the voltage of PV array is smaller than V_{mp} , but the current will drop quickly when the voltage of solar array is greater than V_{mp} . At the same time, the power of PV array will reach its maximum power point (MPP) when the voltage of solar array reaches V_{mp} .



Figure 1.2: I-V and P-V Solar Array Characteristics [4][5]

The I-V curve of PV array also will change with the ambient temperature and solar radiation. Then the point of V_{mp} is not constant. To regulate the solar array at its MPP, a DC/DC converter with MPP controller is usually applied, which will regulate the solar array at its MPP no matter what the temperature or solar radiation. The MPT algorithm and MPT controller are described in [4] and [5].

Single-crystalline silicon and multi-crystalline silicon modules are the most popularly used among the PV cells on the market [6]. By using such material, the characteristic opencircuit voltage of 36 cells and 72 cells is between [18V, 26V] and [38V, 46V] [7]. The new technologies, such as thin-layer silicon, amorphous-silicon and photo electro chemical, will improve the voltage per cell to [0.5V 2.0V] [8], [9], [10], [11].

As shown in Figure 1.3, the 36 cell modules are connected in parallel to form a gridconnected PV panel. Since the characteristic output voltage of PV cells are much lower compared with grid voltage, several PV cells need to be connected in series and/or in parallel to facilitate the inverter design. For a centralized inverter system, all the PV cells are connected in parallel or in series and use a single inverter to perform the power processing and converting function. If one of the cells is shadowed or malfunctions due to aging, then the centralized maximum power point will be mismatched between the cells. If these cells are in parallel with others, then they will behave like a load instead of source, due to the output voltage being lower than others. A fully shadowed cell among the 160 cells will be 70°C above the ambient temperature, which will cause the lifetime of the heated cell to be reduced dramatically [12], [13].



Figure 1.3: Bp Solar Msx60 60watt Polycrystalline Shell Sp75 75 Watts Signal Crystal [14]



Figure 1.4: Shadowing of PV Cells [14]

The trends of the inverter for the small DG system are:

1. Modular Design

For a DG system, volumetric heat dissipation and high current ability are the limitations that individual inverter cannot overcome. Especially in the applications of renewable energy DC source, the input voltage is low and variable in a wide range. A modularized inverter approach is

a more reliable and economic solution for distributed AC power systems. Modularized inverters will share the high load current and also offer redundancy, uninterrupted operation and extended life expectancy to the system [15].

The traditional PV cell inverter system with grid-connection is a centralized inverter system. The PV panels are connected in parallel and in series to get enough input DC voltage to facilitate the inverter design. The centralized inverter is the lowest cost solution. But if any shadowing happens, as shown in Figure 1.4, a hot-spot will generate in the shadowed PV panels. Other than that, due to different position of each of the PV panels, the MPP of each of the panels is different. If the centralized inverter cannot track each MPP of the PV panels, then system efficiency is lower.

If each PV panel has an inverter module attached and converts the solar energy into utility grid, then each PV's MPP can be tracked efficiently by each inverter module and the hot spot will be eliminated from the whole system. The modular design of each inverter within the grid also will provide an uninterrupted power converter even if one of the modules malfunctions.

Mass production, high reliability and lower costs reduce the price per watts. A plug and play system can be installed by the customer without electrical engineering training. The reduction of installation costs will further reduce the cost of an inverter system [16].

The next generation of modular inverter will be an inverter cell integrated with a single PV cell and converts the sunlight energy to electrical energy [17][18][19]. The next generation PV cell will be much easier to integrate into building, houses and portable devices.

2. Higher Efficiency and Longer Warranty

Higher efficiency reduces the heat generation and increases the system stability. The lifetime of electrolytic capacitor will be strongly affected by the ambient temperature. Research

shows that a 10 degrees ambient temperature increase will decrease the lifetime of the electrolytic capacitor by half. The longer lifetime will let the manufacturer have a longer warranty on the inverter, which makes the inverter more attractive to the customer. To increase system efficiency, zero voltage switching and zero current switching topologies need to be applied, which will also reduce the switching stress of the switches and extend the lifetime of the inverter. Some researcher-applied resonant and quasi-resonant converter topologies will greatly increase inverter efficiency.

3. Less Components Counts and Lower Cost

A single-stage inverter reduces components counts, increases system efficiency and has lower costs than a conventional multiple-stage inverter. As new control techniques and topologies are developed, a single-stage inverter will become more and more popular.

4. Multi-Source Design and Wide Input Voltage Range

An inverter design for multi-source, including PV power, fuel cell, wind power, micro gas turbines and small hydro systems, will decrease the cost of an inverter system and facilitate the design of a modularized inverter. A multi-source design inverter has an even wider input voltage range. A wider input voltage range also increases the utilization of the voltage source.

5. Power Decoupling

An inexpensive and small film capacitor is applied in the inverter to replace the expensive and large electrolytic decoupling capacitors. This will increase the lifetime of the inverter [20].

6. Digital Control

6

All the functions mentioned above need more agility control techniques, such as MPPT control for PV optimal efficiency in a fuel system or other complex power flow controls. Digital control also provides a friendly interface between the DG system and customers.

CHAPTER TWO: SINGLE-PHASE GRID-CONNECT INVERTER TOPOLOGY REVIEW

There are many existing inverter topologies for single-phase grid-connected inverters. In this chapter, the single-phase grid-connected inverter topologies will be reviewed, which have transformers to provide galvanic isolation to meet the safety requirement. The old generation single-stage grid-connected inverter was using a low frequency transformer, which is bulky, heavy and expensive. The new generation single-stage inverter is using a high frequency transformer, which is called high frequency link inverter. The general high frequency link inverter block diagram is shown in Figure 2.1, which includes most of the function blocks of existing inverters.



Figure 2.1: General Block Diagram of Single-Stage Grid-Connected Inverter

The function of a pre-regulator in Figure 2.1 is to provide initial input voltage boosting or MPPT function. Some inverter designs also provide 120/100Hz low frequency ripple cancellation.

The function of the DC/DC converter is to provide further voltage boosting or MPPT function and high frequency link galvanic isolation. A conventional DC/DC converter in inverter application is voltage source and outputs constant DC voltage. The DC/DC converter for single-stage inverter is current source and output rectified sinusoidal current instead of constant current.

The function of the inverter is to convert the DC voltage into sinusoidal current and inject it into utility grid, which makes it a current source. For a single-stage inverter, the inverter stage is the unfolding circuit, which is operated at 60/50 Hz and inverts the rectified sinusoidal current into sinusoidal waveform.

The MPPT controller detects the voltage and output current of the solar array. Then, it regulates the power point of solar array to its maximum power point by adjusting the pre-regulator or DC/DC converter reference.

The pre-regulator, DC/DC converter and inverter controllers are controlling the power stages. The controllers will regulate voltage or current to convert the solar energy to electrical energy.

The stand-alone load is the customer's load in home. The single-phase inverter converts the solar energy to electrical power and onto the utility grid. Besides the utility grid, the solar power also can be utilized by the stand-alone load. The function of a backup battery provides energy storage during night to continue powering the stand-alone load.

The general block diagram in Figure 2.1 illustrates all the possible functional blocks that most single-phase grid-connected inverters could have. It is not necessary for every inverter to have all the blocks.

There are different categories for single-phase grid-connected inverters, which are: high frequency link inverters or inverters with low frequency transformer, single-stage or multiple-

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stage inverter, uni-directional or bi-directional inverter, inverter with electrolytic capacitor as power decoupling or inverter with active power stage as power decoupling stage.

Single-phase grid-connected inverter with low frequency transformer is an old fashioned inverter technique, which uses 50/60 Hz frequency transformer to provide galvanic isolation with utility grid. Low frequency transformer is expensive, bulky and heavy. A high frequency link inverter uses a high frequency transformer to provide galvanic isolation, which makes the single-phase inverter cheaper and smaller.

To convert the solar energy into electrical energy and invert the DC voltage source into AC current, a single phase inverter needs either single or multiple stages to perform the power processing functions. A single-stage inverter uses one power processing stage to provide galvanic isolation and DC/AC conversion. A single-stage inverter applies PWM on the primary side of high frequency link transformer to generate rectified sinusoidal waveform and uses a low frequency switch bridge to invert rectified sinusoidal waveform into pure sinusoidal waveform with 50/60Hz switching cycle. A single-stage inverter has higher efficiency due to less power conversion but has poor power decoupling between solar array and utility grid. To provide proper voltage amplification, a single-stage inverter needs to put multiple PV panels into series to have enough input DC voltage, or needs to apply a high turns ratio transformer, which tends to have large leakage inductance and higher voltage stress for the secondary side switches. Two-stage or multiple-stage inverters have a DC/DC converter to amplify the solar array voltage, providing galvanic isolation and power decoupling. The shortcoming of a multiple-stage inverter is lower power efficiency and higher cost.

A uni-directional inverter will not allow bi-directional power flow between the load and the power decoupling capacitor. Therefore, a uni-directional inverter can only handle pure resistive load or be used as a grid-connected inverter system. On the contrary, the bi-directional inverter can handle the reactive load, which generates the bi-directional power flow through the inverter and the power decoupling capacitors.

For a single-phase grid-connected inverter, the input power from solar array is constant, which is the MPP of solar array. The output power of the inverter is pulsing at 120/100Hz and the peak instantaneous power is twice that of the average power of the inverter system. Then, the power decoupling is necessary between the solar source and the utility grid. The conventional power decoupling method uses large electrolytic capacitance at the output of solar array for a single-stage inverter or output of a DC/DC converter. An active power processing stage could be another solution for power decoupling.



Figure 2.2: Conventional Two-Stage Isolation Full-Bridge Inverter

Figure 2.2 illustrates the conventional two-stage isolation full-bridge inverter, which has a fully functional DC/DC converter and a SPWM full-bridge inverter in series. The DC/DC converter boosts and regulates the solar array output variable DC voltage and provides a stable and regulated DC bus voltage for the next stage inverter. The DC/DC converter also provides galvanic isolation between the solar array and the utility grid. The DC bus capacitance provides power decoupling between the two stages.



Figure 2.3: Single-Stage Isolation Bi-Directional Flyback Inverter [21]

Figure 2.3 shows a single-stage isolation bi-directional flyback inverter, which applies two flyback DC/DC converters and outputs two rectified sinusoid currents and then forms a pure sinusoidal current. By applying IGBTs on both the primary and the secondary side of the transformers, this inverter can handle reactive load. Power decoupling of this topology is depending only on the input capacitance.



Figure 2.4: Single-Stage Isolation Flyback Type Buck-Boost Inverter

Figure 2.4 illustrates a single-stage isolation buck-boost inverter [22], which uses a bidirectional switch on the secondary side of the transformer to perform synchronous commutation on every half cycle. The four switches on the primary side of the transformer will be turned ON and OFF diagonally to form flyback type operation. The input L-C low pass filter forms the power decoupling stage. The shortcoming of this topology is that it can be operated at very low voltage of solar array. The power decoupling function is relying on the bulky and expensive low pass filter. The system efficiency tends to be low.



Figure 2.5: Single-Stage Isolation Flyback Inverter with Power Decoupling

Figure 2.5 shows a new flyback and buck boost type inverter with power decoupling [23], [24]. This design can eliminate the use of electrolytic capacitors, which limit the life-time of the system. The intermediate capacitor will act as an energy buffer between the PV array and the utility connected inverter. The output power of PV array will be regulated to its MPP and the power variation caused by the inverter will be only shown in the intermediate capacitor. In addition to power decoupling, another benefit of this topology is that the flyback type inverter can work in a wide range of PV array voltage. The drawbacks of this topology are that its controller design is complicated, the power decoupling stage is in series with the flyback converter and the solar array still sees high frequency current ripples, which will need a capacitor to filter out. The power decoupling stage is operating at the same frequency as the inverter stage.



Figure 2.6: Single-Stage Isolation Bi-Directional Full-Bridge Inverter with Cycloconverter

Figure 2.6 illustrates a bi-directional full-bridge inverter with cycloconverter [25]. Like other single-stage inverter using cycloconverters, the bi-directional switches on the secondary side of the transformer allow the reactive energy to be transferred back to the input bulk capacitors. Without a power decoupling stage, the inverter needs to apply an electrolytic capacitor at the output of PV array. The total number of switches is 12 and needs 12 driver circuits, which tend to have higher cost and lower efficiency.



Figure 2.7: Single-Stage Isolation Bi-Directional Full-Bridge Inverter

Figure 2.7 shows a single-stage isolation bi-directional inverter [26]. Compared with the topology shown in Figure 2.6, this topology uses a low frequency polarity reversing bridge to unfold the rectified sinusoid voltage waveform into sinusoidal waveform. The low frequency switching will reduce the power loss and increase system efficiency. Using switches as a full bridge rectifier will allow the bi-directional power flow. Without a DC bus as an energy buffer, the power decoupling is relying on the bulky electrolytic capacitors.



Figure 2.8: Single-Stage Isolation Forward Inverter

Figure 2.8 illustrates a single-stage isolation forward type inverter [27]. Q2, D2, Q3, D3 and Q5, D5, Q4, D4 form two sets of high frequency rectifiers. Each set works for a half cycle of the utility grid. This topology uses a forward converter on the primary side of the transformer. Another coupling winding will create free wheeling on the primary side current when Q1 is OFF. Then the input current of the forward converter will be continuous, but the 120/100Hz power ripple will pass through the transformer. Another drawback is that the input voltage boost is relying on the turns ratio of the transformer, which will limit the effective input voltage range.



Figure 2.9: Single-Stage Isolation Resonant Half-Bridge Inverter

Figure 2.9 shows a single-stage isolation resonant half-bridge inverter [28]. This inverter design is regulating the current of the inductor on the transformer secondary side to be rectified sinusoidal. The next unfolding stage unfolds the rectified sinusoidal current into pure sinusoidal current. This design applies thyristors as the switches to form a high frequency resonant half-bridge inverter on the primary side of the transformer. It also applies hysteretic control to regulate the output inductor current as a rectified sinusoidal waveform. Then the next stage will unfold the current into sinusoidal current and inject it into the utility grid. The LC resonant tank on the primary side is oscillating at constant frequency. The upper IGBT will be turned off when the resonant tank's current changes direction, and the diode in parallel with the IGBT will be turned on to free-wheeling the current. Then, the lower IGBT is turned on as the resonant tank continues to be resonant. If the current of output inductor is reaching the upper threshold, then

the driving signal to the half-bridge will be inhibited until the current of the output inductor reaches the lower threshold.



Figure 2.10: Single-Stage Isolation Full-Bridge Quasi-Resonant Inverter

Figure 2.10 shows a novel single-stage isolation full-bridge quasi-resonant inverter [29]. This inverter design applies COMFET switches, which have fast turn-on and slow turn-off intrinsic characteristics. By choosing the resonant frequency higher than twice that of the highest full-bridge switching frequency, the COMFET switches will be turned off by the commutation of the resonant current. As a current source inverter, the resonant converter will output a rectified sinusoidal current, and then the next stage will unfold the rectified sinusoidal current into a sinusoidal current. The current regulation is achieved by frequency modulation between 20kHz to 100kHz.



Figure 2.11: Single-Stage Isolation Single-Ended Quasi-Resonant Inverter

Figure 2.11 illustrates a single-stage isolation single-ended quasi-resonant inverter [30], which also applies voltage doubler on the secondary side of the transformer. By using a specially

designed leakage type high frequency transformer, the leakage inductance of the transformer and the parallel capacitor will form a quasi-resonant circuit, which will let the single-ended active switch be operated under zero-voltage-switching. The resonance starts when the switch turns off at zero voltage. The next switching cycle will start when the voltage across the switch resonants to zero and the body diode of the switch starts conducting, which allows the switch to turn on at zero voltage and zero current. The controller of this design is performing duty cycle control with PFM control. A voltage sensing circuit is used to detect the voltage across switches are at zero, and then sends out the driving signal.



Figure 2.12: Single-Stage Isolation Full-Bridge Inverter with Active Harmonic Filter

Figure 2.12 shows a single-stage full-bridge inverter with active harmonic filter [31], which is designed for fuel cell DC/AC application. This inverter design uses an intermediate energy storage stage to filter the 120/100Hz ripple, and then the output current of the fuel cell will be constant. The active harmonic filter only stores the 120/100Hz harmonic component energy. The fuel cell output power is the average power of all components. To store the 120/100Hz component energy, the active filter is working in buck mode. When the active filter outputs the stored energy to the utility grid, it is working in boost mode.


Figure 2.13: Single-Stage Isolation Flyback Inverter with Zero-Voltage Transition

Figure 2.13 illustrates a single-stage isolation flyback inverter using the zero-voltage transition technique [32], which uses fixed switching frequency PWM control. By using a switched snubber circuit on the primary side of the flyback transformer to steer the primary side current, the switching loss and switching noise will be reduced. On the secondary side, a MOSFET and diode in series to form a uni-directional switch that turns rectified sinusoidal current into sinusoidal current. The drawback of this topology is that the breakdown voltage of the MOSFETs on the secondary side tends to be high due to the ringing, which will cause more power loss.



Figure 2.14: Single-Stage Isolation Two-Switch Flyback Inverter with Zero-Voltage Switching

Figure 2.14 shows a single-stage isolation two-switch flyback inverter [33]. By applying coupled inductors to form a resonant snubber circuit, the two switches of flyback inverter can be turned-off at zero voltage to enhance power conversion efficiency. The disadvantage of this topology is that the snubber circuit needs many extra components.

As a summary, Table 2.1 lists all the topologies mentioned above, with the key features listed.

Tab	le 2.1	Review	of	Inverter	Торо	logies
						0

Fig. No.	Topology	Power Decoupling	Isolation	Bi-directional/ uni-directional	Power Rating	Switching Frequency	Efficiency	Input voltage
Figure 2.2	Two-stage full-bridge	electrolytic capacitor	isolation	bi-directional	<10kW	<200kHz	<85%	
Figure 2.3	Single-stage flyback	electrolytic capacitor	isolation	bi-directional	1kW	100kHz		165VDC
Figure 2.4	Single-stage buck-boost	electrolytic capacitor	isolation	uni-directional	400W	50kHz		100VDC
Figure 2.5	Single-stage flyback	active filter	isolation	uni-directional	50W	200kHz		35VDC
Figure 2.6	Single-stage full-bridge	electrolytic capacitor	isolation	bi-directional	1kW			200VDC
Figure 2.7	Single-stage full-bridge	electrolytic capacitor	isolation	bi-directional	200W		83~85%	23VDC
Figure 2.8	Single-stage forward	electrolytic capacitor	isolation	bi-directional	50W	25kHz	70%	48VDC
Figure 2.9	Single-stage half-bridge	electrolytic capacitor	isolation	uni-directional	1kW	35kHz	89%	200VDC
Figure 2.10	Single-stage full-bridge	electrolytic capacitor	isolation	uni-directional	2.5kW	20~100kHz		160VDC
Figure 2.11	Single-stage single-ended	electrolytic capacitor	isolation	uni-directional	4kW	20~30kHz	92.5%	200VDC
Figure 2.12	Single-stage full-bridge	active filter	isolation	uni-directional	1.5kW	20kHz		48VDC
Figure 2.13	Single-stage flyback	electrolytic capacitor	isolation	uni-directional	100W	50kHz	84%	30VDC
Figure 2.14	Single-stage flyback	electrolytic capacitor	isolation	uni-directional	1kW	16kHz	93%	200VDC

CHAPTER THREE: ACTIVE FILTER DESIGN

3.1 Introduction

The inverter module is designed for single PV panel, which has limited power rating. Therefore, it is extremely important to regulate power input from a PV cell at its maximum power point without 120Hz ripple, because the 120/100Hz ripple will degrade the utilization of the PV cell. To maintain a near constant input current from the PV cell, a low frequency input filter needs to be applied to filter the switching frequency ripple and 120/100Hz ripple.

The conventional input filter design uses bulky electrolytic capacitors, which limit the lifetime of the inverter modules. The lifetime of electrolytic capacitors is highly dependent on the temperature of the capacitors. As Table 3.1 shows, the lifetime of the electrolytic capacitor will be half when the temperature increases 10°C. The lifetime of the electrolytic capacitor is only about 44 months at temperature of 65°C. Therefore, it is critical for the inverter module design to reduce the usage of electrolytic capacitors.

Table 3.1 Lifetime of Electrolytic Capacitors

Temperature	Life time in hours	Life time in Months
65°C	32,000 hours	44 months
75°C	16,000 hours	22 months
85°C	8,000 hours	11 months
95°C	4,000 hours	5.5 months

Compared with the passive filter, the active filter will reduce the usage of electrolytic capacitance and eliminate the low frequency current or voltage ripple completely from the PV cell.



(a) Single-Stage Inverter with Passive Filter



(b) Two-Stage Inverter with Passive Filter



(c) Single-Stage Inverter with Active Filter in Parallel



(d) Single-Stage Inverter with Active Filter in Series

Figure 3.1: Comparison of Passive and Active Filter for Inverter System with Grid Connection

Figure 3.1 lists four basic types of filter application, which are: passive filter for singlestage and multiple-stage inverters and parallel and series active filters for single-stage inverters. For the passive filters, the voltage ripple on the electrolytic capacitor is inversely proportional to the capacitance of the DC bus.

To get the same input voltage ripple, single-stage and multiple-stage inverters need the same de-coupling capacitance, as shown in Figure 3.1 (a) and (b). In the multiple-stage inverter, the function of DC/DC converter is booting the PV array voltage and providing the constant voltage to the inverter stage. Since there is no energy storage stage in the DC/DC converter and output voltage is constant, then the ripple voltage on the input capacitors are the same. The voltage ripple on the de-coupling capacitor is also shown in Figure 3.2.



Figure 3.2: De-Coupling Capacitor for Passive Filter

To derive the relationship between the voltage ripple and the capacitance as shown in Figure 3.2, assume the grid current and voltage are:

$$i_{grid} = I_{grid} \sin(\omega_{grid} \cdot t) \tag{3.1}$$

$$v_{grid} = U_{grid} \sin(\omega_{grid} \cdot t) \tag{3.2}$$

Then the output power of the inverter is the production of the grid current and voltage:

$$p_{grid} = i_{grid} \cdot v_{grid} = P_{grid} \sin^2(\omega_{grid} \cdot t) = \frac{P_{grid}}{2} \left(1 - \cos(2\omega_{grid} \cdot t) \right)$$
(3.3)

The average output power of inverter can be derived as:

$$P_{grid,avg} = \frac{\omega_{grid}}{\pi} \int_{0}^{\pi/\omega_{grid}} p_{grid} dt = \frac{\omega_{grid}}{\pi} \int_{0}^{\pi/\omega_{grid}} \frac{P_{grid}}{2} \left(1 - \cos(2\omega_{grid} \cdot t)\right) dt$$

$$= \frac{\omega_{grid}}{\pi} \left(\frac{P_{grid}}{2} \cdot \frac{\pi}{\omega_{grid}} + \frac{1}{2\omega_{grid}} \sin 2\pi\right) = \frac{P_{grid}}{2}$$
(3.4)

Note:

$$P_{PV,avg} = P_{grid,avg} \tag{3.5}$$

Assume the energy variation of grid power is E, then:

$$E = \int_{\pi/4\omega_{grid}}^{3\pi/4\omega_{grid}} p_{grid} - P_{grid,avg} \cdot \left(\frac{3\pi}{4\omega_{grid}} - \frac{\pi}{4\omega_{grid}}\right)$$

$$= \frac{P_{grid}}{2} \int_{\pi/4\omega_{grid}}^{3\pi/4\omega_{grid}} \left(1 - \cos(2\omega_{grid} \cdot t)\right) - \frac{\pi P_{grid}}{4\omega_{grid}}$$

$$= \frac{P_{grid}}{2} \left[\frac{\pi}{2\omega_{grid}} - \frac{1}{2\omega_{grid}} \left(\sin\frac{3\pi}{2} - \sin\frac{\pi}{2}\right)\right] - \frac{\pi P_{grid}}{4\omega_{grid}}$$

$$= \frac{\pi P_{grid}}{4\omega_{grid}} + \frac{P_{grid}}{2\omega_{grid}} - \frac{\pi P_{grid}}{4\omega_{grid}}$$

$$= \frac{P_{grid}}{2\omega_{grid}}$$
(3.6)

The same energy stored in the capacitor is:

$$E = \frac{1}{2}C \cdot v_{cap,\max}^2 - \frac{1}{2}C \cdot v_{cap,\min}^2$$

$$= \frac{1}{2}C\left[\left(V_{cap.avg} + \widetilde{v}_{cap}\right)^2 - \left(V_{cap.avg} - \widetilde{v}_{cap}\right)^2\right]$$

$$= CV_{cap.avg}\widetilde{v}_{cap}$$
(3.7)

Then the relationship between the capacitance and voltage ripple is:

$$E = \frac{P_{grid}}{2\omega_{grid}} = CV_{cap.avg} \widetilde{v}_{cap} \implies C = \frac{P_{grid,avg}}{2\omega_{grid}V_{cap.avg} \widetilde{v}_{cap}}$$
(3.8)

An inverter system is operating at 34V PV output voltage with less than 1V voltage ripple, and then the de-coupling capacitance of passive filter must be greater than 39μ F/Watts. As shown in the analysis above, a lot of capacitance is needed to stabilize the PV output voltage for the passive filter of a grid-tied inverter system

3.2 Active Filter Design

Comparing the parallel and series active filter as shown in Figure 3.1 (c) and (d), the parallel filter has better performance on system efficiency, since the active filter only processes the ripple energy and the PV array outputs the average power. The parallel active filter cannot boost the solar array output voltage to facilitate the inverter design. On the contrary, the series active filter processes all the energy of PV array, but will boost the output voltage of the PV array, so the next stage design will become easier.

For series active filter, it is better to use boost converter as the first stage, which has continued current without any switching ripples. A conventional two-stage inverter has two closed-loop controllers, which are the DC/DC converter controller and the inverter controller. The objective of the DC/DC controller is to regulate the output voltage of the DC/DC converter to be constant, and the objective of the inverter controller is to regulate the output current of the inverter to be pure sinusoid.



Figure 3.3: Control System Design of Single-Stage Inverter with Series Active Filter

The control system shown in Figure 3.3 has two isolated controller loops, which are active filter controller loop and inverter controller loop. The active filter control loop is regulating the output current of solar array to be constant without any 120/100Hz ripple. The current reference signal is generated by MPPT controller. The inverter controller is de-coupled from the active filter stage. The inverter controller is regulating the output current of inverter to be sinusoidal and synchronized with the utility grid voltage. The rectified sinusoidal current reference is generated from the production of the rectified sinusoidal signal and the scaled down DC voltage of active filter output.

For instance, if the output voltage of the active filter is 100VDC and the voltage ripple is 3V, then the capacitance needed is 4.4μ F/Watts from equation (3.8), which is about 10 times smaller than the passive filter.

The controller of the inverter has two loops. The outer loop is the DC voltage regulation loop; the other is the output current regulation loop. Note that there is a low pass filter on the loop of the inverter controller, which will filter out the 120/100Hz ripple on the DC bus to get the average value of the DC bus. Then the bandwidth of the outer loop is lower than 120/100Hz.

The inner loop is the output current regulation loop, which is a fast loop and has bandwidth of about 10kHz.

As Figure 3.4 shows, a flyback single-stage inverter system uses a boost converter as an active filter. The controller also has two control loops, which are input current regulation and inverter output current regulation, as shown in Figure 3.3. The idea of the optimized two-stage inverter brick is regulating the input current at maximum power point of the PV cell and another closed control loop is regulating the inverter output current and DC/DC converter output voltage together.



Figure 3.4: Block Diagram of Optimized Two-Stage Inverter Brick with Grid Connection

The input current regulation uses a reference signal generated by a DSP controller, which regulates the power of the PV cell to its maximum power point. The other control loop uses a constant amplitude rectified sinusoid signal as reference. A product of this rectified sinusoid signal and a DC gain will be the reference signal for inverter output current regulation. The DC gain is generated by another error amplifier, which regulates the output voltage of DC/DC

converter to be 100V. It is a negative feedback regulation. If the DC/DC output voltage is higher than 100V, then the DC gain increases and the power drawn from the DC/DC converter also will increase, and this will compensate the output voltage of DC/DC the converter.

As Figure 3.3 shows, the optimized two-stage inverter brick system has two separated control loops, which will let the inverter system drawn constant current from the PV cell and output pure sinusoidal current to the utility grid.



Figure 3.5: Schematics of Psim Simulation Model for Active Filter



Figure 3.6: I-V and P-I Curves of PV Arrays Used in Figure 3.5

To verify the optimized two-stage inverter brick system, a Psim simulation model is built, as shown in Figure 3.5. Besides the analog circuits, the look-up table source is applied to generate characteristic I-V curve, which simulates the PV cell, as shown in Figure 3.6. The power of the source is rated as 50W, 100W, 200W, 300W and 400W.

A digital controller simulates the DSP controller, which provides the current reference for the boost converter and regulates the output current of the PV cell to be its maximum power point. A C program is coded inside of the digital controller, which could be directly converted to the DSP code. Then, this simulation model can be used to simulate not just a power electronic circuit and its analog controller, but also a digital controller and its performance.

The following list shows the C program used for maximum power point tracking.

statio double. Vo. Lin:				
static double V0, IIII,	}			
static clock_0-0, clock_1,	$f(r, r) = \frac{1}{r} (r, r)$			
static double V solar_1, Isolar_1;	else ii (power_1<=power_0)			
static double v solar_0=0, Isolar_0=0;	{			
static double Pref_0=0.1, Pref_1=0.05;	if (Vsolar_1>Vsolar_0)			
static double power_1, power_0=0;				
static double delt_ref=0.05;	Pref_1=Pref_0+direction*delt_ref;			
static double indicator=0;	}			
static double direction=1;	else			
static double first=0;	{			
	Pref_1=Pref_0-direction*delt_ref;			
clock_1=in[2];	}			
Vsolar_1=in[1];	indicator=2;			
Isolar_1=in[0];	}			
if (clock $0 == 1 \&\& clock 1 == 0$)	else			
power 1=Vsolar 1*Isolar 1;	{Pref 1=Pref 1+direction*delt ref;			
power 0=Vsolar 0*Isolar 0;	indicator=4;			
	}			
if (first==0)	,			
{	if (Pref 1<01)			
Pref 1=Pref 0+direction*delt ref				
first=1	$\Pr_{\text{Pref } 1=0}^{(1)}$			
linst 1,	indicator=3.			
)				
also if (nower 1>nower 0)	}			
else if (power_1>power_0)	Veolar 0-Veolar 1:			
i if (Vaclar 15Vaclar 0)	V Solar_0-V Solar_1,			
$\prod_{i=1}^{n} (v \text{ solal}_{1} \neq v \text{ solal}_{0})$	Isolai_0-Isolai_1,			
Draf 1-Draf 0 direction * dalt rafe	power_0=power_1;			
Pref_1=Pref_0-direction*delt_ref;	Pref_0=Pref_1;			
}	}			
else	clock_0=clock_1;			
	out[0]=Pret_1;			
Pret_l=Pret_0+direction*delt_ref;	out[1]=indicator;			
}	out[2]=power_0;			
indicator=1;	out[3]=direction;			

Table 3.2 C Program for Maximum Power Point Tracking



Figure 3.7: Algorithm of Maximum Power Point of PV Array

The algorithm of maximum power point control is shown in Figure 3.7, which is detecting the power difference as a current reference that is increasing by one step [36]. If P_0 (previous power) is greater than P_1 (current power), as shown in Figure 3.7(a), and V_1 (current voltage) is greater than V_0 (previous voltage), then it will continue increasing the current reference; or V_1 is smaller than V_0 then it will decrease the current reference. If P_0 is greater than P_1 , as shown in Figure 3.7(b), and V_1 is greater than V_0 , then it will decrease the current reference; or if V_1 is smaller than V_0 , then it will continue increasing the current reference.



Figure 3.8: Simulation Results of Inverter Brick at Different Power Rating PV Cell

To test the performance of the MPPT algorithm and the inverter with grid connection, five different power rating PV cells are used as sources, which are shown in Figure 3.6. As Figure 3.8 illustrates, the average output voltage of a boost converter is stabilized at 100Vdc with 120Hz ripple. The output current of the inverter brick is synchronized with the utility grid and amplitude of sinusoid current is adjusted accordingly to maintain the output voltage of boost converter to be 100Vdc. The output power of PV cells is maintained at its maximum power, which is 400W, 300W, 200W, 100W and 50W.

To test the dynamics of the MPPT algorithm, another simulation is performed, which lets the power rating of the PV cell be switched from 200W to 300W and from 300W to 400W, then changed back to 300W and 200W.

As Figure 3.9 shows, the inverter brick system is tracking the dynamic maximum power of the PV cell. Note the output current of the PV cell is constant without any apparent 120Hz low frequency ripple, and the power of the PV cell stays at its maximum power point without any degrading, which proves the optimized two-stage inverter brick system is more suitable to the low power of the PV cell and has the maximum utilization efficiency.



Figure 3.9: Simulation Results of Inverter Brick at Different Power Rating PV Cells

3.3 Active Filter with Feedforward Controller

To regulate the input current of the active filter to be constant, the inverter current control loop and active filter's control loop are de-coupled completely. The current reference of inverter

is generated from the voltage sensing signal of the output voltage of the active filter. Since there is no voltage regulation of active filter output voltage, the voltage sensing signal has 120/100Hz ripple as shown in Figure 3.2. For a single-stage inverter with active filter, as shown in Figure 3.3, there are two existing control loops. The inner loop is the inverter current control loop, which has higher bandwidth. The inner loop regulates the inverter current to follow the current reference, which is generated from the outer loop. To filter out 120/100Hz low frequency ripple, the bandwidth of the voltage loop must be lower than 120/100Hz, which means the speed of the voltage control loop is very slow. Then the inverter voltage loop's response to the variation of solar array will be slow. The power of solar array can be as varied as the shadow of a cloud or any other obstacle. Figure 3.10 shows the step response of a single-stage inverter to the maximum power of solar array. As these figures show, the transition of the single-stage inverter gets worse as the step change of the solar array maximum power point increases. The transition time ranges from 50ms to 100ms as the maximum power of solar array changes from 400Watts to 300Watts, 200Watts and 100Watts. Besides the longer transition time, the bus voltage drops dramatically and severe distortion happens on the inverter output current. The maximum power point of solar array output power is not tracked well either, which means the de-coupling of the active filter and the single-stage inverter fail too.



Figure 3.10: Step Response of Single-Stage Inverter with Active Filter to the Maximum Power of Solar Array

The step response of the single-stage inverter with active filter can be enhanced with feedforward control. The feedforward control signal is generated by the MPPT controller, which is regulating the output power of the solar array. The inverter's output current is proportional to the output power of solar array. The amplitude of output current can be computed by the output power of solar array and the efficiency of the inverter system. The efficiency is predicted through analysis, but the real number could be varied. So the feedback loop is still necessary to regulate the DC bus voltage. In most of cases, the power level disturbance of solar array is small, so the efficiency number varies slightly and the whole system step response will be enhanced.



Figure 3.11: Block Diagram of Single-Stage Inverter with Active Filter and Feedforward Control

Figure 3.11 illustrates the block diagram of single-stage inverter with active filter and feedforward control. The instant value of solar array output power feeds into the outer loop of the single-stage inverter to adjust the magnitude of inverter output current. The reference of inverter output current is the production of solar array output power, DC bus voltage error amplifier output and rectified sinusoidal waveform. A factor k converts the power of solar array into corresponding inverter output current amplitude, and the inverter efficiency is also taken into account, which is shown as follows:

$$I_{grid.ref} = k \cdot V_{rec.ref} \cdot V_{EA.ref} \cdot V_{POW.ref}$$
(3.9)

$$k = \frac{\sqrt{2}}{120} \eta(P)$$
(3.10)

where, $\eta(P)$ is the system efficiency with respect to the output power of the inverter.



Figure 3.12: Step Response of Single-Stage Inverter with Active Filter and Feedforward Controller to the Maximum Power of Solar Array

Figure 3.12 illustrates the step response of a single-stage inverter with active filter and feedforward control to the maximum power of solar array. Compared with Figure 3.10, with feedforward control, the step response of solar power from 400watts to 300, 200 and 100watts demonstrates much less transition time, and the DC bus voltage variation is much smaller.



Figure 3.13: 10% 2nd Harmonics on DC Bus Causes 5% 3rd Harmonics on Inverter Output Current [34], [35]

The system efficiency can be acquired by simulations and tests. But the efficiency curve can be different from unit to unit. Therefore, the outer loop of DC bus regulation will finely adjust the amplitude of the inverter output current to maintain the voltage of DC bus stability. The majority of the adjustment has already been done by feedforward control; the feedback loop only performs minor adjustments. Even the bandwidth of the DC bus voltage control loop is limited by the inner loop, but the overall speed of the system will be enhanced.

The de-coupling capacitance of the single-stage inverter is inversely proportional to the voltage ripple superimposed on the DC bus voltage as (3.8) illustrated. The 120/100Hz voltage ripple will be coupled to the inverter output current reference. A similar 3rd harmonic analysis in [34] and [35] can be applied in this active filter design. As [34] and [35] claimed, for instance, 10% of 2nd harmonic voltage on the DC bus generates 5% of 3rd harmonics on the input current of power factor correction circuit. The same result for the single-stage inverter is that a half percentage of the 3rd harmonic component will be superimposed on the inverter output current, as shown in Figure 3.13. The normalized DC bus voltage has 10% 2nd harmonic superimposed on the DC. The inverter output current reference signal is generated from the production of normalized DC bus voltage and normalized rectified sinusoid signal, which has distortion as shown in Figure 3.13. Then the output current will be programmed with the same amount of distortion, which is 3rd harmonic. Subtracting the normalized ideal sinusoid signal from the normalized inverter output current, the total distortion caused by 2nd harmonic is shown in the bottom of Figure 3.13, which is the summation of 5% 3rd harmonic and 5% fundamental component with 90° phase lead.



Figure 3.14: Step Response of Single-Stage Inverter with Active Filter, Feedforward Controller and Sample and Hold to the Maximum Power of Solar Array

To overcome the 3rd harmonics on the inverter output current, the DC bus capacitance can be increased or the gain and bandwidth of DC bus error amplifier can be decreased, which causes a dilemma between the DC bus voltage regulation and inverter output current regulation. Because the DC bus voltage regulation needs the inverter outer loop to have high bandwidth, but the inverter output current regulation, the inner loop, needs the output of outer loop to be flat, which means the bandwidth of the outer loop must be low to filter out 120/100Hz low frequency ripple.

To solve the dilemma, a 120/100Hz sample and hold is used to process the output of the DC bus voltage error amplifier. Since the output of sample and hold will be constant and updated at 120/100Hz, the output current of the inverter will be pure rectified sinusoidal.

CHAPTER FOUR: SINGLE-STAGE INVERTER DESIGN

4.1 Introduction

Alternate energy systems are in high demand to minimize the dependence on foreign oil imports, reduce significant capital investments for newer centralized power generating units and lower environmental pollution. Additionally and yet equally important, such distributed generation potentially reduces the risk of a complete blackout in the event of cascaded power system failures.



(a) Conventional Two-Stage Inverter



(b) Single-Stage Inverter

Figure 4.1: PV-Based Two-Stage and Single-Stage Inverters

The PV array is an important alternate energy source, which has a special characteristic I-V curve [36]. Then, a DC/DC converter stage is needed to pre-regulate the DC bus voltage to be constant, provide galvanic isolation between the PV array and the utility grid and regulate the output voltage of the PV array to be its maximum power, as Figure 4.1 (a) shows. If a conventional inverter system has two high-frequency power conversion stages cascaded, the overall efficiency will be the production of each stage. Two 90% efficiency power stages will end up with an 81% efficiency overall [37].

A single-stage high-frequency link inverter system is shown in Figure 4.1 (b), which uses a DC/DC converter to provide galvanic isolation, regulated voltage of the PV array with maximum power tracking (MPT) and output rectified sinusoid current, which is synchronized with the utility grid. Another 50/60Hz low frequency unfolding circuit will unfold this rectified sinusoid current into a pure sinusoid current as shown in Figure 4.1 (b). Since the unfolding circuit is only operated at low frequency, the switching loss can be omitted and only conduction loss will be taken into account. Only one high-frequency power processing stage in the inverter system will reduce the switching loss as well as increase the reliability of the whole system. Because the unfolding circuit only switches at zero voltage and current, the switching stress is much smaller than a conventional one.

A single-stage inverter system also brings the benefit of an expandable system structure. By using the modularized design of DC/DC converters in Figure 4.1 (b), the inverter system can be paralleled easily up to a high power inverter system by using only one unfolding circuit.

4.2 Full-Bridge Phase-Shift ZVS Single-Stage Inverter

There are many topologies for a DC/DC converter, such as buck, boost, half-bridge, fullbridge, and forward. For single-stage solar-based inverter system, three requirements need to be fulfilled, which are high efficiency, high power rating (above 1kW) and isolation. When all these topologies are compared, full-bridge is the best topology for this application.

Full-bridge can easily handle higher power (1kW or even more) than other topologies and supply isolation between the solar array and the utility grid, which can protect the customers safety, as shown in Figure 4.2. The main transformer of the full-bridge topology works under full symmetry of volt-seconds, which will eliminate the saturation of the transformer and greatly reduce the size of the transformer. Another benefit of the full-bridge topology is that it can easily achieve zero voltage switching (ZVS) controlled by a phase-shift controller, such as UCC3895, UC3875 and HIP4080, which can increase efficiency and reliability.



Figure 4.2: Block Diagram of Single-Stage Full-Bridge Phase-Shift Inverter

To invert the rectified sinusoid current into sinusoid current, a full-bridge unfolding circuit is applied, which will be switched in 50/60Hz frequency. Without high frequency

switching, the unfolding circuit will have much higher efficiency than high frequency PWM conventional inverter.

4.3 Transformer Analysis

Since the DC/DC converter of a single-stage inverter outputs rectified sinusoid current to the unfolding circuit, the width of voltage pulses on the primary side of the transformer is modulated sinusoidally [38][39]. The height of these pulses is V_{IN} , as shown in Figure 4.3. The frequency of sinusoid modulation is 100/120Hz. A voltage-seconds analysis can prove that this sinusoid modulation will not affect the high-frequency operation of the transformer. The design of the single-stage inverter transformer is the same with conventional DC/DC converter high-frequency transformer.



Figure 4.3: Voltage on the Primary Side of Transformer



Figure 4.4: Voltage-Seconds Analysis of Transformer

Figure 4.4 shows simplified key waveforms of average current mode controller for a single-stage inverter. V_{REF} is the output signal of error amplifier, which can be assumed to be a rectified pure sinusoid waveform. V_{RAMP} is a high-frequency ramp signal. A comparator will compare these two signals. And the output of comparator V_{COMP} will drive the MOSFET through the driver circuit. Accordingly, the voltage of the primary side transformer will be V_{TR} . The amplitude of V_{TR} is V_{IN} , which is the output voltage of PV array [40].

In a conventional high-frequency transformer design, a value of the peak ac flux density ΔB is usually defined to limit the core loss of the high-frequency transformer. The relationship between the voltage-seconds production of the transformer primary side with ΔB is:

$$V_{IN} \cdot T \cdot D_{\max} = \Delta B_{\max} \cdot A \cdot N \tag{4.1}$$

where,

 V_{IN} : the input voltage of DC/DC converter.

T: the switching period of DC/DC converter.

 D_{max} : the maximum duty cycle of DC/DC converter.

 ΔB_{max} : the maximum ac flux density.

A: the cross section area of the ferrite core.

N: the turns of transformer primary side.

Consider the voltage of the transformer as shown in Figure 4.4. Assume the voltageseconds production of positive pulses are $b_1, b_2, ..., b_{n-1} b_n$, and negative pulses are $a_1, a_2, ..., a_{n-1}$ and a_n . Also assume b_n is the voltage-seconds production of the pulse with maximum width among all the pulses. The net voltage-seconds production will be:

$$\lambda = -a_1 + b_1 - a_2 + b_2 \dots - a_n + b_n \dots$$
(4.2)

The maximum value of net voltage-seconds production will be:

$$\lambda_{\max} = -a_1 + b_1 - a_2 + b_2 \dots - a_n + b_n \tag{4.3}$$

This equation is of the form

$$\lambda_{\max} = B - A \tag{4.4}$$

with

$$A = \sum_{i=1}^{n} a_i \tag{4.5}$$

$$B = \sum_{i=1}^{n} b_i \tag{4.6}$$

From Figure 4.4

$$b_1 > a_1, b_2 > a_2, \dots b_{n-1} > a_{n-1}, b_n > a_n \tag{4.7}$$

$$a_2 > b_1, a_3 > b_2, \dots a_n > b_{n-1}$$
 (4.8)

So

$$A - a_1 > B - b_n \tag{4.9}$$

$$B - A < b_n - a_1 \tag{4.10}$$

Then

$$\lambda_{\max} < b_n - a_1 < b_n \tag{4.11}$$

$$b_{n,\max} \le 0.5TV_{in} \tag{4.12}$$

For full-bridge topology, the maximum pulse width is 0.5T, where T is the switching period. Then, for the conventional high-frequency DC/DC converter, (1) can be derived as:

$$0.5TV_{in} = \Delta B_{\max} \cdot A \cdot N \tag{4.13}$$

Also for single-stage inverter transformer, (1) can be written as:

$$\lambda_{\max} = \Delta B_{\max} \cdot A \cdot N = 0.5TV_{in} \tag{4.14}$$

Then, the transformer of a single-stage inverter can be designed as a conventional DC/DC converter transformer. The sinusoidal modulation will not cause any low frequency component or saturation of the transformer core [41].

4.3 Slope Compensation Mathematical Model for Single-Stage Peak Current Mode Control

When the current-mode converter is operated at a duty cycle higher than 50%, the inner loop has an unconditional instability, which cannot be cured by the feedback loop. A certain amount of slope compensation can resolve the stability issue at any duty cycle values [42].



(c) Stable @ D>0.5 with Slope Compensation

Figure 4.5: Slope Compensation for a DC/DC Converter



(c) Stable @ D>0.5 with Slope Compensation

Figure 4.6: Slope Compensation for a Single-Stage Inverter

As Figure 4.6 (a) shows, the solid blue curve is the instantaneous inductor current waveform of any buck-derived DC/DC converter. Perturbing the inductor current by the amount of ΔI_0 , it can be seen that ΔI_1 and ΔI_2 are graphically decreased for a duty cycle less than 0.5 in Figure 4.6 (b). This perturbation can be caused by:

1. Step change of input voltage of DC/DC converter.

2. Jittering on the switch's driving circuit.

3. Jittering on the inductor current reference signal.

Figure 4.6(c) depicts that applying slope compensation when the duty cycle is greater than 0.5, ΔI_1 and ΔI_2 will be decreased dramatically.

Figure 4.6 depicts the slope compensation for the single-stage inverter, which is different with a conventional DC/DC converter by using rectified sinusoid signal. Again, without slope compensation, perturbation ΔI_0 will be damped when the duty cycle is less than 0.5 and amplified when the duty cycle is greater than 0.5. For the same reason, applying slope compensation can solve the problem.

A mathematical model is derived to analyze the stability and slope compensation method for the single-stage inverter.



Figure 4.7: Slope Compensation for Single-Stage Inverter

Assume the current reference has the slope compensation with an angle of γ and the inductor current reference has an angle of θ to the horizontal line. Also a characteristic triangle CGD is shown in Figure 4.7. In this triangle, we have:

$$\overline{GD} = \overline{AB} \tan \alpha \tag{4.15}$$

$$\frac{\overline{GD}}{\sin(\frac{\pi}{2} + \alpha - \gamma)} = \frac{\overline{CD}}{\sin(\frac{\pi}{2} - \alpha)}$$
(4.16)

$$\overline{CD} = \frac{\sin(\frac{\pi}{2} - \alpha)}{\sin(\frac{\pi}{2} + \alpha - \gamma)} \overline{GD} = \frac{\cos\alpha}{\cos(\alpha - \gamma)} \overline{GD} = \frac{\cos\alpha \cdot \tan\alpha}{\cos(\alpha - \gamma)} \overline{AB} = \frac{\sin\alpha}{\cos(\alpha - \gamma)} \overline{AB}$$
(4.17)

$$\frac{\overline{CD}}{\sin(\frac{\pi}{2}+\beta)} = \frac{\overline{HD}}{\sin(\pi-\frac{\pi}{2}+\alpha-\alpha-\beta-\gamma)} = \frac{\overline{HD}}{\sin(\frac{\pi}{2}-\beta-\gamma)}$$
(4.18)

$$\overline{HD} = \frac{\sin(\frac{\pi}{2} - \beta - \gamma)}{\sin(\frac{\pi}{2} + \beta)}\overline{CD} = \frac{\cos(\beta + \gamma)}{\cos\beta}\overline{CD} = \frac{\sin\alpha \cdot \cos(\beta + \gamma)}{\cos\beta \cdot \cos(\alpha - \gamma)}\overline{AB}$$
(4.19)

$$\overline{EF} = \frac{\overline{HD}}{\tan\beta} = \frac{\sin\alpha \cdot \cos(\beta + \gamma)}{\sin\beta \cdot \cos(\alpha - \gamma)} \overline{AB}$$
(4.20)

which is:

$$\Delta I_1 = \frac{\sin \alpha \cdot \cos(\beta + \gamma)}{\sin \beta \cdot \cos(\alpha - \gamma)} \Delta I_0 \tag{4.21}$$

Let:

$$G(\theta) = \frac{\sin\alpha \cdot \cos(\beta + \gamma)}{\sin\beta \cdot \cos(\alpha - \gamma)} = \frac{\sin\alpha \cdot \cos\beta \cos\gamma - \sin\alpha \sin\beta \sin\gamma}{\sin\beta \cdot \cos\alpha \cos\gamma + \sin\beta \sin\alpha \sin\gamma} = \frac{\frac{\cos\beta \cos\gamma - \sin\beta \sin\gamma}{\sin\beta}}{\frac{\cos\alpha \cos\gamma + \sin\alpha \sin\gamma}{\sin\alpha}}$$
(4.22)

$$G(\theta) = \frac{\frac{\cos\beta\cos\gamma}{\sin\beta} - \sin\gamma}{\frac{\cos\alpha\cos\gamma}{\sin\alpha} + \sin\gamma} = \frac{\frac{1}{\tan\beta} - \tan\gamma}{\frac{1}{\tan\alpha} + \tan\gamma}$$
(4.23)

If $G(\theta)$ is greater than 1, the system is unstable when the duty cycle is greater than 0.5. The system is unconditionally stable at any duty cycle when $G(\theta)$ is always less than 1. Also, the
smaller of $G(\theta)$, the sooner the inductor current reaches the steady state with zero steady state error, which means a more stable margin.

To verify this mathematical model, the DC/DC converter case without slope compensation will be used, which is θ and γ =0. From (4.23),



(a) Without Slope Compensation

(b) With Slope Compensation

Figure 4.8: DC/DC Converter Slope Compensation

From Figure 4.8 (a), we have,

$$G(\theta) = \frac{\tan \alpha}{\tan \beta} = \frac{DT}{(1-D)T} = \frac{D}{1-D}$$
(4.25)

So, $G(\theta) > 1$, when D>0.5, unstable; $G(\theta) < 1$, when D<0.5, stable, without slope compensation.

To apply slope compensation as shown in Figure 4.8 (b), choose γ to let $tan\gamma = k/tan\beta$

$$G(\theta) = \frac{\frac{1}{\tan\beta} - \tan\gamma}{\frac{1}{\tan\alpha} + \tan\gamma} = \frac{\frac{1}{\tan\beta} - \frac{k}{\tan\beta}}{\frac{1}{\tan\alpha} + \frac{k}{\tan\beta}} = \frac{1-k}{\frac{\tan\beta}{\tan\alpha} + k} = \frac{1-k}{\frac{1-D}{D} + k}$$
(4.26)

To let $G(\theta)$ always be less than 1,

$$1 - k < \frac{1 - D}{D} + k \tag{4.27}$$

which is equivalent to:

$$k > \frac{D - 0.5}{D} \ge \frac{1 - 0.5}{1} = 0.5 \tag{4.28}$$

Then, to guarantee the DC/DC converter to be stable at any duty cycle, the slope compensation must have:

$$\tan\gamma > \frac{0.5}{\tan\beta} \tag{4.29}$$

The mathematical model is verified in DC/DC converter case.



Figure 4.9: Derivation of $G(\theta)$

From the triangle DBE, the $G(\theta)$ can be simplified as:

$$\tan \alpha = \frac{\overline{BM}}{\overline{DM}} = \frac{\overline{BM}}{\overline{LM} + \overline{KL} + \overline{DK}}$$

$$= \frac{DT}{DT \tan \theta + (1 - D)T \tan \theta + \frac{(1 - D)T}{\tan \beta}}$$

$$= \frac{D}{\tan \theta + \frac{1 - D}{\tan \beta}}$$
(4.30)

$$G(\theta) = \frac{\frac{1}{\tan\beta} - \tan\gamma}{\frac{1}{\tan\alpha} + \tan\gamma} = \frac{\frac{1}{\tan\beta} - \tan\gamma}{\frac{\tan\beta}{D} + \tan\gamma} = \frac{\frac{1}{\tan\beta} - \tan\gamma}{\frac{1}{D} \tan\theta + \frac{1-D}{D} \frac{1}{\tan\beta} + \tan\gamma}$$
(4.31)

Assume *tany=k/tanβ*, so we have:

$$G(\theta) = \frac{\frac{1}{\tan\beta} - \frac{k}{\tan\beta}}{\frac{1}{D}\tan\theta + \frac{1-D}{D}\frac{1}{\tan\beta} + \frac{k}{\tan\beta}} = \frac{1-k}{\frac{1}{D}\tan\theta\tan\beta + \frac{1-D}{D} + k}$$
(4.32)

where,

$$\tan \beta = \overline{EK} / \overline{DK} = L_o / v_o(t) = L_o / \left[120\sqrt{2} \sin(\arccos(\tan \theta)) \right]$$

and L_o is the inductance of single-stage inverter.

$$G(\theta) = (1-k) / \left(\frac{1}{D} \tan \theta \frac{L_o}{170 \sin(\arccos(\tan \theta))} + \frac{1-D}{D} + k\right)$$
(4.33)

To guarantee the stability of the single-stage inverter, $G(\theta)$ must be less than 1. Then,

$$G(\theta) = (1-k) \left(\frac{L_o}{D} \frac{\tan \theta}{170 \sin(\arccos(\tan \theta))} + \frac{1-D}{D} + k \right) < 1$$

$$(4.34)$$

$$k > 0.5 - \frac{L_o}{D} \frac{\tan\theta}{340\sin(\arccos(\tan\theta))} - \frac{1-D}{2D}$$

$$\tag{4.35}$$

The output inductor L_0 is about 100nH up to 100uH, so $L_0/312$ is very small and can be ignored. Then *k* must be greater than 0.5 to guarantee the system stability at any duty cycle.

A Psim simulation model has been developed to prove the slope compensation for the peak current mode control of DC/DC converter as illustrated in Figure 4.10, which outputs a rectified sinusoidal waveform. A buck circuit represents all the buck-derived isolated or non-isolated topologies. This circuit has an inner-loop for the regulation of inductor current with peak current mode control and another outer-loop for the regulation of output voltage. To simulate the

noisy effect on the peak current mode control, two random voltage sources are added in the current and voltage control loops with RMS voltage of 30mV.



Figure 4.10: Simulation Model for the Peak Current Mode Control

Figure 4.11 shows the simulation results of output voltage and control signals without any slope compensation. From the zoomed figure of Figure 4.11 (b), (c), (d) and (e), the output voltage ripple keeps increasing as duty cycle is increasing when it is greater than 50%. Peak current mode control without slope compensation demonstrates instability when duty cycle is greater than 50%. Applying some slope compensation, which is less than half of the slope of inductor down slope, as shown in Figure 4.12 (b) and (c), the unstable region shrinks. When enough slope compensation is applied, which is greater than half of the slope of inductor down slope as shown in Figure 4.13 (b) and (c), the output voltage of the converter is stable at the full region of duty cycle.







(b) 1.5~1.56mS, 42.5% Duty Cycle



(d) 3.5~3.56mS, 77.5% Duty Cycle



(c) 2.5~2.56mS, 65% Duty Cycle



(e) 3.98~4.04mS, 80% Duty Cycle

Figure 4.11: Output Voltage of Unstable Condition Due to No Slope Compensation (output voltage is shown in the top figure, and the control signal is in the bottom figure, which is current reference in red, switch current in blue and diode current in green.)





(c) 5~5.06mS, 76% Duty Cycle

Figure 4.12: Output Voltage of Unstable Condition Due to No Slope Compensation (output voltage is shown in the top figure, and the control signal is in the bottom figure, which is current reference in red, switch current in blue and diode current in green.)





(c) 5~5.06mS, 76% Duty Cycle

Figure 4.13: Output Voltage of Unstable Condition Due to No Slope Compensation (output voltage is shown in the top figure, and the control signal is in the bottom figure, which is current reference in red, switch current in blue and diode current in green.)

Another test is the step response of the input voltage, as shown in Figure 4.14, which is happening at 4ms and from 220Vdc to 180Vdc. Figure 4.14 (b) shows the feedforward characteristic of the peak current mode control, which adjusts the duty cycle cycle-by-cycle, so the response time is very fast.



(b) 3.9~4.1mS

Figure 4.14: Step Response of Input Voltage from 180Vdc to 220Vdc at 4mS

Another Psim model is developed to demonstrate the relationship between the loop gain and slope compensation, as shown in Figure 4.15 (a). From the analysis above, the slope compensation slope has to be greater than half of the down slope of the inductor, which is the only limitation for slope compensation. Actually, from the frequency domain analysis of the loop gain as shown in Figure 4.15 (b), the loop gain drops as the slope compensation increases, which means over compensation will degrade the speed of the system.



Figure 4.15: (a) Frequency Domain Analysis Simulation Model (b) Voltage Loop Loop Gain of DC/DC Converter with Different Slope Compensation @ V_0 =60Vdc

As Figure 4.15 (b) illustrates, the loop gain will be shifted down by the slope compensation, which will affect the bandwidth and the DC gain of the system. Decreasing the bandwidth will reduce the response speed of the system, and decreasing the DC gain will increase the steady state error of the system. Also from Figure 4.15 (b), the phase of the system will not be changed by the slope compensation. Even there are no requirements for the maximum value limitation for the slope compensation, the best value for the slope compensation is half of the inductor current down slope, which can meet system stability requirements and maximize the bandwidth of the system.



Figure 4.16: (a) Frequency Domain Analysis Simulation Model (b) Current Loop Loop Gain Of DC/DC Converter with Different Slope Compensation



Figure 4.17: Loop Gain of Voltage Loop and Transfer Function of Current Loop

Figure 4.16 depicts the Psim simulation model to analyze the current loop loop gain, which is using a constant current reference. The simulation results shown in Figure 4.16 (b) prove that the loop gain of the current loop is inversely proportional to the slope of compensation, which is also shown in [42] and [43].

Continuing loop gain analysis in Figure 4.17 shows the simulation results of voltage loop gain and transfer function of current loop. The transfer function of current loop is part of the closed-loop voltage loop gain, which is the transfer function of output of the voltage error amplifier and the current of inductor. As Figure 4.17 shown, the transfer function of current loop is reduced as the slope compensation increase. As a result, the voltage loop loop gain is reduced also, which explains the simulation results of Figure 4.15 (b).

4.4 Adaptive Slope Compensation for Single-Stage Inverter

Figure 4.18 has shown the unified slope compensation, which is applying the same slope compensation at any duty cycle and load. The down slope of the inductor current will depend on the output voltage of the DC/DC converter by V_0/L . For the case of the single-stage inverter, the output voltage is rectified sinusoid, which is in the range of 0~170V. That means the slew rate of the inductor current is near zero at small duty cycle, and it has high slew rate at the peak of output voltage. If applying a unified slope compensation for a DC/DC converter of single-stage inverter, it will be over compensated at most of the region, since the unified slope compensation must meet the stability requirements of peak output voltage. As shown in Figure 4.18 (d) and (e), the slope compensation is half of the down slope, but in Figure 4.18 (b) and (c), the slope compensation is near or even larger than the down slope of the inductor current, which is over compensated.



(a) Output Voltage of DC/DC Converter, 0~10mS



Figure 4.18: Output Voltage and Control Signals with Unified Slope Compensation (red waveform is current reference with unified slope compensation, blue waveform is current sensing signal of switch and green waveform is the current sensing signal of diode in b, c, d and e)

To achieve the uniform bandwidth of the system, adaptive slope compensation is proposed, which applies a compensation slope of half inductor down slope at any output voltage. Adaptive slope compensation will meet the requirement of stability for peak current mode control and guarantee the maximum loop gain of the system. As shown in Figure 4.19 (a), the adaptive slope compensation is generated by modulation of the rectified sinusoid reference signal. The zoomed-in simulation results in Figure 4.19 (c), (d), (e) and (f) prove that the slope of compensation is always equal to a half of the inductor current down slope at full range of the rectified sinusoid output voltage.

Comparing the loop gain of the unified and the adaptive slope compensation at different output voltage in Figure 4.20, the loop gain of the adaptive slope compensation has more consistence than the unified slope compensation.

This adaptive slope compensation concept can also be applied to the DC/DC converter, which has wide range of output voltage to get maximum system bandwidth.



Figure 4.19: Peak Current Mode Control with Adaptive Slope Compensation for Single-Stage Inverter (red waveform is current reference with adaptive slope compensation, blue waveform is current sensing signal of switch and green waveform is the current sensing signal of diode in c, d, e and f)



Figure 4.20: Comparison of Peak Current Mode Control with Unified and Adaptive Slope Compensation at $V_0=20$ Vdc, 100Vdc and 160Vdc

CHAPTER FIVE: DIGITAL CONTROLLER DESIGN FOR THE ACTIVE FILTER OF SINGLE-STAGE INVERTER

5.1 Digital Controller Design for Single-Stage Inverter System



Figure 5.1: System Block Diagram of Single-Stage Inverter with Digital Controller



Figure 5.2: Block Diagram of Digital Controller

The system parameters used in this design are:

- $V_{in} = 40 \sim 60V$, $V_o = 100V$, Max output current $I_{in} = 2.5A$.
- Maximum input current sensing (used for ADC signal scaling) $V_{\text{lin,sen}} = 2V$.
- PWM frequency $f_{pwm} = 250 \text{kHz}$; Voltage loop sampling frequency $f_s = 250 \text{kHz}$.
- Output filter components, L = 400 uH, C = 200 uF, $RC = 0.004 \Omega$.
- Desired current loop bandwidth $f_{ci} = 20$ kHz.
- Phase Margin = 45° .
- $V_{se} = 5V_{.}$
- $R_s = 0.05\Omega$. The amplifier gain is 10, then the equivalent sensing resistance 0.5 Ω . The small signal model of current control loop is:

$$G_{id}(s) = \frac{V_O \cdot R_s}{sLV_{se}}$$
(5.1)

Where, V_o is output voltage of boost converter, R_s is the current sensing resistor, L is the inductor of boost converter, V_{se} is the oscillator ramp voltage.

The corresponding digitalized closed-loop control system is shown in Figure 5.2, which includes the effect of sample and hold. Hc represents the gain of ADC. The on-chip PWM module is functioning as a zero-order-hold device. The ADC and the PWM module together from a sampling and hold device. The effect of such sample and hold action will introduce a time delay of Ts/2 or a phase lag of ω Ts/2 or 180f/fs degree [44].

A sample and hold device can be expressed as s-domain transfer function:

$$SH(s) = \frac{(1 - e^{-sT_s})}{s}$$
 (5.2)

In digital controlled power supply, the effect of sampling and hold will introduce an additional phase delay of 180f/fs degree compared to an equivalent analog controlled power supply [44]. Here, f is the frequency of bandwidth, where the phase margin is calculated.

The block Hc represents computation delay. Td is this time delay of ADC sampling, and PWM duty cycle updating [44]. The transfer function for Hc is:

$$H_C(s) = e^{-sTd} \tag{5.3}$$



Figure 5.3: Block Diagram of Current Control Loop [44]

The discrete time transfer function Gp(z) of the converter plant, including the ZOH, the sampler, and the computation delay Hc is:

$$G_{p}(z) = Z\left\{\frac{1}{s}(1 - e^{-sTs})H_{C}(s)G_{p}(s)\right\}$$
(5.4)

$$G_p(z) = \frac{0.1z^{-1}}{z - 1} \tag{5.5}$$



Figure 5.4: Bode Plot of Gp(s) and Gp(z)

A conventional 2p-1z compensation network is shown in Figure 5.5, which has transfer function as:

$$A_{V} = \frac{1 + sR_{f}C_{Z}}{sR_{i}(C_{p} + C_{Z})(1 + sR_{f}C_{p} //C_{Z})}$$
(5.6)



Figure 5.5: 2p-1z Compensator

From Figure 5.5, the gain of power stage at fc=10kHz is -8dB, which defines the gain of compensator at fc as:

$$G_{EA} = \frac{1}{|G_{id}(s)|} = \frac{1}{-8dB} = 2.5 \Longrightarrow A_V = \frac{R_f}{R_i} = 2.5$$
(5.7)

 R_i is chosen to be $4k\Omega$, and then R_f is $10k\Omega$. A zero is placed at the cross over frequency to give a phase margin of 45 degrees. To reduce the switching noise, a pole is placed at one half the switching frequency.

$$f_{zero1} = f_C = 1/(2\pi R_f C_z) = 10kHz \implies C_z = 1.59nF$$
(5.8)

$$f_{pole} = 1/(2\pi R_f (C_z C_p (C_z + C_p)) = 100 kHz \implies C_p = 159 pF$$
(5.9)

The total phase lag is introduced by the compensation network, and the error amplifier at the unity-gain crossover frequency, f1, is:

$$\theta = 270^{\circ} - \tan^{-1}(\frac{f_1}{f_z}) + \tan^{-1}(\frac{f_p}{f_1}) = 270^{\circ} - 45^{\circ} + 84^{\circ} = 309^{\circ}$$
(5.10)

The 270° phase lag is due to phase inversion introduced by the inverting error amplifier (180°) and the pole at the origin introduced by the compensation network (90°). From Figure 5.6, the maximum phase boost caused by the compensation network is about 60° at 40kHz, and the phase boost is 40° at 10kHz. The phase response of the compensation network has a phase lag of 230°. From Figure 5.7, the power stage has a phase lag of 110° at 10kHz. The total phase lag is 330° at the crossover frequency 10kHz. Therefore, we have 20° phase margin, which is much less than the requirement 45°.

$$G_{ci}(s) = \frac{1.592 \times 10^{-5} \cdot s + 1}{1.126 \times 10^{-11} \cdot s^2 + 7.074 \times 10^{-6} \cdot s}$$
(5.11)

$$G_{ci}(z) = \frac{U}{E} = \frac{2.501z^{-1} - 1.945z^{-2}}{1 - 1.081z^{-1} + 0.081z^{-2}}$$
(5.12)

Where, the sampling time is $Ts = 4\mu S$.

In discrete form, this controller is written as:

$$U(n) = 1.081U(n-1) - 0.081U(n-2) + 2.501E(n-1) - 1.945E(n-2)$$
(5.13)

Where, U is the control output, and E is the error voltage. The quantities with (n) denote the sampled values for the current sampling cycle, the quantities with (n-1) denote one sample old values and so on [44].



Figure 5.6: Bode Plot of Gci(s) and Gci(z)



Figure 5.7: Bode Plot of Loop Gain Gci(s)Gp(s) and Gci(z)Gp(z)

The bode plot of Gci(s) and Gci(z) are shown in Figure 5.6, which illustrates that the discrete compensator has extra delay caused by sample and hold. The continuous compensator has a 40° phase boost at 10kHz, but the discrete one only has 30°. As a result, Figure 5.7 illustrates the bode plot of loop gain of the closed loop current control. Due to the delay of discrete controller, the phase margin of the discrete controller only has 5° at the fc=12.3kHz, which will not be a stable controller, even though the corresponding continuous loop gain provides 44° phase margin to stabilize the current regulation.

5.2 Optimization of Digital Controller

To optimize the discrete compensator and increase the phase margin to 45°, Matlab control toolbox sisotool is applied, which can dynamically adjust the gain, poles and zeros. As

Figure 5.8 illustrates, the zero is moved to 4kHz and poles are staying at 0Hz and 100kHz. Then, the phase margin is increased to 62.9°. The compensator transfer function is changed to:

$$G_{ci}'(s) = \frac{1.6 \times 10^6 (s + 2.55 \times 10^4)}{s(s + 6.28 \times 10^5)}$$
(5.14)

Then, the corresponding discrete compensator transfer function is:

$$G_{ci}'(z) = \frac{2.5(z - 0.905)}{(z - 1)(z - 0.081)}$$
(5.15)

$$G_{ci}'(z) = \frac{U}{E} = \frac{2.55z^{-1} - 2.2625z^{-2}}{1 - 1.081z^{-1} + 0.081z^{-2}}$$
(5.16)

Where, the sampling time is $Ts=4\mu S$.

In discrete form, this controller is written as:

$$U(n) = 1.081U(n-1) - 0.081U(n-2) + 2.5E(n-1) - 2.2625E(n-2)$$
(5.17)

Where, U is the control output and E is the error voltage. The quantities with (n) denote the sampled values for the current sampling cycle, the quantities with (n-1) denote one sample old values and so on.

The corresponding bode plots of closed loop loop gain Gci'(s) Gp(s) and Gci'(z) Gp(z) are shown in Figure 5.8 and Figure 5.9. In comparison, the original closed loop loop gain Gci(s) Gp(s) and Gci(z) Gp(z) are also shown in Figure 5.8 and Figure 5.9. As illustrated, the optimized compensator transfer function Gci'(s) boosts the phase margin from 42.8° to 62.9°, and Gci'(z) boosts the phase margin of discrete loop gain from 23.2° to 42.8°. Then, the optimized compensator meets the requirements.



Figure 5.8: Bode Plot of Closed Loop Loop Gain Gci(s)Gp(s) and Gci'(s)Gp(s)



Figure 5.9: Bode Plot of Closed Lop Loop Gain Gci(z)Gp(z) and Gci'(z)Gp(z)

To verify the analytical design, a switch mode boost converter is designed as shown in Figure 5.10(a), which uses all the design parameters and the optimized compensator Cci(z).

The discrete compensator is designed in C code, which is listed in Table 5.1. The clock frequency is set to be 250kHz. The compensator output will be updated in every 4 μ s, which is equivalent to the computation delay Td. The input current sensing signal is also updated in very 4 μ s, which is equivalent ZOH. As shown in Figure 5.10(b), the bandwidth of current regulation is 11kHz with 44° phase margin and 11dB gain margin, which is close to the analytical results shown in Figure 5.9 with 45° phase margin, 11.1dB gain margin and 11kHz bandwidth.

The time domain analysis shows that the step response current reference (from 0.5A to 2A) and step response of input voltage from 40V to 60V are both 100µs.





(d) Step Response of Input Voltage



Table 5.1 Digital Controller in C Code

static double Un, Un1=0, Un2=0;	Un1=Un;				
static clock_0=0, clock_1;	if (Yn>5) {	Yn=5;	}		
static double Yn, Yn1=0, Yn2=0;	if (Yn<0) {	Yn=0;	}		
static double count=0;	if (Yn1>5) {	Yn1=5;	}		
clock_1=in[1];	if (Yn1<0) {	Yn1=0;	}		
Un=in[0];	if (Yn2>5) {	Yn2=5;	}		
if $(clock_0 == 0 \&\& clock_1 == 1)$	if (Yn2<0) {	Yn2=0;	}		
{ Yn=1.081*Yn1-0.081*Yn2+2.5*Un1-2.2625*Un2;	}				
Yn2=Yn1;	clock_0=clock_1;				
Yn1=Yn;	out[0]=Yn				
Un2=Un1;					

CHAPTER SIX: BI-DIRECTIONAL SINGLE-STAGE INVERTER DESIGN FOR MICRO-INVERTER SYSTEM

<u>6.1 Introduction</u>

For a grid-connected inverter, the output current of the inverter is in-phase of grid voltage. However, for stand-alone AC load, especially an electrical device without PFC and inductive load-like motors, a bi-directional inverter is needed to handle the reactive power.

A conventional bi-directional single-staged inverter is a cycloconverter as shown in Figure 6.1 (a). When compared with a conventional uni-directional full-bridge DC/DC converter as shown in Figure 6.1 (b), which uses two diodes as rectifiers, the cycloconverter will have some disadvantages:

The first disadvantage is that the junction capacitance of high-voltage power MOSFET is much larger than the same voltage rating of power diodes, which will cause more switching loss.

Second, a high-voltage power MOSFET has more $R_{DS(on)}$, which will cause more conduction loss.

Third, more junction capacitance of a high-voltage power MOSFET will cause more ringing across the switches, as Figure 6.2 shows. For the same prototype and input voltage, the peak ringing voltage across diodes in Figure 6.2 (a) is 330V, but for the MOSFET in Figure 6.2 (b), the peak voltage is 530V. The high ringing voltage requires a higher voltage rating power MOSFET, which will have even larger $R_{DS(on)}$. A 600V power MOSFET is almost the maximum voltage rating power MOSFET that can be used practically in this kind of application. For a power MOSFET, when the voltage rating is higher than 600V, the $R_{DS(on)}$ is unacceptable. Then,

the snubber circuit has to be applied to damp the ringing, which will increase system complexity and the cost and also will reduce the efficiency and reliability.

Fourth, to drive the MOSFET on the secondary side of the transformer, an isolation driver is needed, which will cause more increases in cost. Four isolation drivers are needed for the cycloconverter shown in Figure 6.1 (a).



(a) Bi-Directional Full-Bridge DC/DC Converter with Bi-Directional Switches



(b) Uni-Directional Single-Stage Full-bridge DC/DC converter



(c) Optimized Single-Staged Bi-Directional Inverter

Figure 6.1: Bi-Directional, Uni-Directional DC/DC Converters and Optimized Single-Stage Bi-Directional Inverter



Figure 6.2: Experiment Results of Ringing Voltage across Diode and MOSFET with the Same Prototype as Shown in Figure 6.1

For the PV array application, the input voltage is highly variable (30–60VDC). Therefore, the single-stage inverter must have high turns ratio to step-up input voltage. Normally, the turns ratio is 1:4. To reduce the semiconductor switches number, a center-tap rectification is used, as shown in Figure 6.1. Then, system efficiency will increase and the cost will decrease. But the center-tap transformer will double the requirements for the break-down voltage of the rectifiers on the secondary side. For example, the reverse voltage on the rectifiers of 60VDC input and 1:4 transformer single-stage inverter is $60 \times 4 \times 2=480$ V. Due to the reason of leakage inductance on the secondary side of the transformer, the peak voltage of the ringing can easily be higher than 600V. Even if snubbers are applied, 600V break-down voltage MOSFET increases dramatically as the break-down voltage increase. Above a break-down voltage of 600V, few MOSFETs are available to be applied. IGBTs is a feasible choice when break-down voltage is higher than 600V, but the switching frequency will be reduced to 40kHz or even lower, which will increase the size and weight of the transformer.

To compare the performance, dynamic behavior and price of 600V MOSFETs and 600V diodes, Table 6.1, Table 6.2 and Table 6.3 are presented. The MOSFETs and diodes listed in these three tables are all chosen from International Rectifier Corporation.

Body Diode Reverse Diode Reverse Reverse Reverse VDSS R_{DS(on)} I_D Junction Recovery Recovery Forward Recovery Recovery **(V)** $(m\Omega)$ Capacitance (A) Time Time Voltage Charge Charge @125°C (pF) @25°C @125°C @25°C @25°C (V) (µC) (nS) (nS) (µC) 1.5 14~20 17~25 irfps40n60k 600 110 40 675 630~950 730~1090 irfps38n60l 120 170~250 420~630 1.5 0.83~1.24 600 38 668 2.6~3.9 irfps30n60k 600 160 30 476 640~960 1.5 11~16

Table 6.1 IRF[®] 600V MOSFETs

Table 6.2 IRF[®] 600V Diodes

				Reverse	Reverse	Diode	Diode	Reverse	Reverse
	V	T	Junction	Recovery	Recovery	Forward	Forward	Recovery	Recovery
	(V)	(A)	Capacitance	Time	Time	Voltage	Voltage	Charge	Charge
	(*)	(V) (A)	(pF)	@25°C	@125°C	@25°C	@125°C	@25°C	@125°C
				(nS)	(nS)	(V)	(V)	(µC)	(µC)
30eth06	600	30	33	31	77	2.0	1.34	0.065	0.345
30epf06	600	30		160		1.41		1.25	
40epf06	600	40		180		1.25		0.5	
60epu06	600	60	39	81	164	1.35	1.20	0.3	1.394

Table 6.3 Price of 600V MOSFETs and Diodes

	irfps40n60k	irfps38n60l	irfps30n60k	30eth06	30epf06	40epf06	60epu06
Price of Quantity 1000 (\$)	11.699	9.845	6.400	1.053	2.214	2.628	4.238

Upon comparison of the body diodes of MOSFETs with the diodes, the reverse recovery time is much longer, and the reverse recovery charge of the MOSFET body diodes is also much

higher than the diodes, which cause more switching loss than the diodes. The junction capacitance of the MOSFETs is much larger than the diodes. The ringing on the secondary side of the transformer is mainly caused by the leakage inductance of the transformer and the junction capacitance of the rectifiers. The large junction capacitance of the MOSFETs will cause even higher ringing, which will exceed the break-down of the MOSFETs. Another consideration is the issue of price. The 600V MOSFETs are far more expensive than the 600V diode. When the driver circuits are taken into account, the cost of a bi-directional or uni-directional switch is more expensive than the rectifier made of diodes.

6.2 Bi-Directional Power Flow and Its Mathematical Model

For a home appliance, the reactive power is not a main concern for the inverter system, because more and more appliances have power factor correction. But for some motor driving appliances, there is still a phase shift between the load current with the inverter output voltage, due to the reason of inductive load. Figure 6.3 shows the measurement of input current and the utility grid voltage of a refrigerator and a vacuum during startup. As Figure 6.3 illustrates, the bidirectional power flow only happens when the voltage and current of the load has different polarities.





Figure 6.3: Current and Voltage of a Vacuum and a Refrigerator Starting



Figure 6.4: Output Voltage and Current of Stand-Alone Inductive Load

Figure 6.4 illustrates a general case of inverter output current and voltage at stand-alone inductive load. To reveal the relationship of reactive energy of load and the peak voltage of C_{aux} , it is assumed:

$$v_o(\omega t) = \sqrt{2} V_{rms} \sin \omega t \tag{6.1}$$

$$i_O(\omega t) = \sqrt{2}I_{rms}\sin(\omega t - \phi)$$
(6.2)

The instantaneous output power is:

$$p_{o}(\omega t) = v_{o}(\omega t)i_{o}(\omega t)$$

= $2V_{rms}I_{rms}\sin\omega t\sin(\omega t - \phi)$
= $2V_{rms}I_{rms}\left[\frac{1}{2}\cos\phi - \frac{1}{2}\cos(2\omega t - \phi)\right]$ (6.3)

$$p_{O}(\omega t) = V_{rms}I_{rms}\left[\cos\phi - \cos(2\omega t - \phi)\right] = V_{rms}I_{rms}\cos\phi - V_{rms}I_{rms}\cos(2\omega t - \phi)$$
(6.4)

During t_0 and t_1 the reactive energy of the load will be charged into the capacitor C_{aux} , and the total energy is:

$$E_{re} = \int_{\pi}^{\pi+\phi} p_{O}(\omega t) d\omega t = \int_{\pi}^{\pi+\phi} [V_{rms} I_{rms} \cos \phi - V_{rms} I_{rms} \cos(2\omega t - \phi)] d\omega t$$

$$= \phi V_{rms} I_{rms} \cos \phi - V_{rms} I_{rms} \int_{\pi}^{\pi+\phi} \cos(2\omega t - \phi) d\omega t$$

$$= \phi V_{rms} I_{rms} \cos \phi - V_{rms} I_{rms} \sin \phi$$
(6.5)
$$= V_{rms} I_{rms} (\phi \cos \phi - \sin \phi)$$

The basic concept of the proposed technique is that the capacitor C_{aux} stores the reactive energy of E_{re} from the inductive load during [t₀, t₁]. Assuming the voltage of capacitor C_{aux} before charging is C_{ini} , then:

$$E_{re} = \frac{1}{2} C_{aux} V_{pk}^{2} - \frac{1}{2} C_{aux} V_{ini}^{2}$$
(6.6)

Then V_{pk} can be found to facilitate the choosing of S_{aux} and C_{aux} . Assuming the inverter output power rating is 1kW, the phase delay between the inverter output current and the voltage is 10°,

the initial voltage on C_{aux} is 250V and the maximum peak voltage allowed on C_{aux} is 400V, then a 47 μ F capacitor is enough to store this reactive energy.

6.3 Bi-Directional Single-Stage Inverter Design for Micro-Inverter System

Micro-inverter system makes residential solar power systems more economically viable through innovative power electronics system design. More specifically, the initiative proposes and designs a new modular inverter brick solar energy conversion system that will allow customers to install and expand solar modules into homes by themselves, at a pace most comfortable to their financial means.

This goal of a micro inverter system will be achieved through a new residential solar energy conversion architecture that can minimize the cost and through a new power electronics technology that can improve the conversion efficiency, reduce the cost and increase the inverter system's reliability. Figure 6.5(a) shows the conventional centralized inverter system and proposed modularized inverter brick with grid connection.



(a) Conventional Grid-Tied PV Inverter System



(b) Grid-Tied PV DC/AC System with Embedded Inverter and Wireless Ethernet

Figure 6.5: Comparison of Conventional and Micro Inverters Systems

The conventional inverter system centralizes the DC/DC converter and DC/AC inverter together. This structure needs the power rating of the inverter system to match the power rating of PV array, which requires the customers to purchase enough PV array at one time. Since all the PV panels are in series or parallel, the inverter can only be operated at the overall maximum power point of all the panels. If some PV panels are degraded, the overall system efficiency will be low. If any PV panel malfunctions, it will endanger the whole inverter system. Other than that, the centralized inverter failure will also shut down the whole system. The centralized system tends to be bulky, heavy and noisy, and the customer has to find a strong wall on which to mount

the inverter system. If the customer wants to upgrade the PV panels, the inverter will be exchanged for a higher powered one, although then the initial investment on the inverter will be lost.



(a) Incremental Installation of Solar Tiles on a Residential Roof



(b) At the Same Time, Different Positions

Figure 6.6: Solar Radiation of PV Panels

As the numbers of PV Tiles increase, the panels will be laid on the different position of the house roof. As shown in Figure 6.6, the PV panels will have different solar radiation conditions. Different solar radiation will result in different power levels. At the same time, the power level varies with the orientation of PV panels as shown in Figure 6.6 (b), and the right PV panel will have the highest power level and the left one will have the lowest. The character of the PV inverter system requires a complicated maximum power tracking algorithm, which has statistical data analysis and dynamic monitoring functions.

A conventional grid-tied PV inverter system is shown in Figure 6.5 (a), which uses an integrated inverter system to achieve MPPT and isolation functions. The PV panels could be in

series and parallel to meet the requirements of the DC bus. A breaker panel will detect a dangerous situation and will shut down the inverter. An AC meter will measure the power delivered to the utility grid.

Due to the integrated inverter, the conventional system is cheaper and simpler. But the utilization of PV power is low. As shown in Figure 6.6 (a), since the orientation of PV panels is different, the maximum power point of the panels is different too. Even though the integrated inverter has MPPT function, the inverter system can achieve only the overall maximum power point of the PV panels. So the overall utilization of PV panels is not as efficient as the proposed topology shown in Figure 6.5 (b), which has an embedded DC/AC inverter attached to each of PV panels and converts solar energy directly to utility line.

In addition to the efficient utilization, the proposed topology also provides more reliability than the conventional one. Since all the PV panels are in parallel and connected to the utility line, if any panel fails it can be disconnected from the AC grid by the monitoring circuit in the embedded inverters, which is attached to the PV panels. Other units will not be affected. Compared with the topology shown in Figure 6.5 (a), if any PV panel has a short circuit, the whole system will fail. If an open circuit occurs on any PV panel in series with other, then the whole branch will fail.



Figure 6.7: System Block Diagram of Single-Staged Bi-Directional Inverter System
For high AC power distribution applications, volumetric heat dissipation and high current ability are the limitations that an individual inverter cannot overcome. Especially in the applications of a renewable energy DC source, the input voltage is low and variable in a wide range. A modularized inverter approach is a more reliable and economic solution for distributed AC power systems. Modularized inverters will share the high load current and also offer redundancy, uninterrupted operation and extended life expectancy to the system. For high current applications, only the DC/DC converters and reverse converters need to be paralleled. Due to low frequency operation, the unfolding circuit does not need be paralleled. The unfolding circuit can apply IGBT, which can handle very high current. The system shown in Figure 6.7 also offers flexibility to different loads, which means the number of DC/DC converters depends on the amount of reactive power required by the load. The number of reverse modules is optional to the users. In most cases, a very small power rating DC/DC converter can handle the reactive power flow of the load.

6.4 Bi-Directional Single-Stage Inverter Design with Flyback DC/DC Converter for Micro Inverter System

The reactive power of load shown in Figure 6.3 is much smaller than the real power delivered to the load in each cycle. Then, if a separated DC/DC stage can handle this part of reactive power, then a uni-directional DC/DC converter can be applied, which uses power diodes as rectifiers at the secondary side of the transformer as Figure 6.8 shows. The topology shown in Figure 6.8 also keeps the efficiency of single-staged inverter high. Based on this idea, an expandable system structure is proposed in Figure 6.7. To build an inverter system with very

high power rating, the modularized designed DC/DC converters and reverse DC/DC converters are in parallel.



Figure 6.8: Bi-Directional Single-Stage Inverter with Flyback DC/DC Converter

Figure 6.8 shows the proposed new single-staged bi-directional inverter with inverse DC/DC converter, which is fully analyzed in previous chapters. The basic principle for this topology is that the inverse DC/DC converter will absorb the extra reactive energy back to the DC source to keep the sinusoid wave shape inverter output voltage. The DC/DC converter topology is still full-bridge phase-shift, which is using diodes as a rectifier on the secondary side of the transformer. The inverse DC/DC converter topology is flyback with active clamping, which uses an auxiliary switch S_{F2} to clamp the ringing of S_{F1} when S_{F1} is turning off.



Figure 6.9: Timing Diagram of Bi-Directional Single-Stage Inverter with Flyback DC/DC Converter

Figure 6.9 is the timing diagram of bi-directional single-staged inverter with flyback DC/DC converter. When the ENABLE signal is high, the full-bridge phase-shift is active and the driving signal is enabled. At the same time, the driving signal to the flyback DC/DC converter will be disabled. Since at any time, only one converter's driving signal is enabled, there is no circling current existing. There are two methods to generate the ENABLE signal. First, the comparison of DC/DC converter error amplifier output and inverse DC/DC converter error amplifier output can be the ENABLE signal in Figure 6.10 (a). The second method is to use isolated current and voltage sensor to sense the inverter output current and voltage polarities and generate the ENABLE signal through a NOR gate.

The benefit of the circuit in Figure 6.10 (a) is that it is simpler and cheaper. Only a hysteresis comparator is added. For the circuit of Figure 6.10 (b), an isolated current sensing and two comparators are added.



Figure 6.10: ENABLE Signal Generation

A Psim simulation module is developed to verify the concept of single-staged highfrequency link inverter system as shown in Figure 6.11. The simulation results are shown in Figure 6.12.



Figure 6.11: System Block Diagram of Single-Staged Bi-Directional Inverter System



Figure 6.12: Simulation Results of Load Voltage (V_{AC}), Load Current (I_{AC}), Output Current of DC/DC Converter ($I_{Forward}$), Input Current of Reverse DC/DC Converter ($I_{Reverse}$), Output of Error Amplifier of Both Converters (V_{Ferr} and V_{Rerr})

6.5 Bi-Directional Single-Stage Inverter Design with Energy Storage for Micro Inverter System

Figure 6.13 shows the bi-directional single-staged inverter with reactive energy storage network, which is the combination of a single-staged inverter with a buck-boost DC/DC converter on the secondary side of the transformer. As discussed in the previous report, the advantage of this topology is that the reactive energy will not be processed by the transformer. A conventional two-staged inverter also stores the reactive energy in the bulk capacitor, which is also on the secondary side of the transformer. The difference between these two topologies is C_{aux} in Figure 6.13 only processes the reactive power of stand-alone load. For grid-tie mode and pure resistive load, C_{aux} processes no energy. For conventional stand-alone load, the reactive

power is only a small part of the whole power delivered to the stand-alone load, so the capacitance of C_{aux} is much smaller than the bulk capacitance of the two-staged inverter.



Figure 6.13: Bi-Directional Single-Staged Inverter with Reactive Energy Storage Network



(a) Reactive Energy Charging Mode



(b) Output Current Free Wheeling Mode



(c) Reactive Energy Discharging Mode

Figure 6.14: Three Operation Modes of Reactive Energy Storage Network

Figure 6.4 shows the output current and voltage of the inverter at stand-alone inductive load and the output voltage and current seen by the DC/DC converter. To handle this reactive power at region $[t_0, t_1]$ and $[t_2, t_3]$, two methods were proposed. The first method is to replace the diodes D₁ and D₂ with MOSFET as shown in Figure 6.1 (c). The second method is to parallel another DC/DC converter, which will only be activated at region $[t_0, t_1]$ and $[t_2, t_3]$ to handle the reactive power of inductive load. Both methods keep the single-staged structure of the inverter system. Both methods will be analyzed, simulated and prototyped in this dissertation.

There are three operating modes for the topology shown in Figure 6.13, which are reactive energy charging, reactive energy free wheeling and reactive energy discharging modes. In reactive energy charging mode, the inductive load current will be charged to C_{aux} , the voltage of the C_{aux} will be applied to the inductive load, which will decrease the load current. In the free wheeling mode, the load current is free wheeling through S_{aux2} in Figure 6.14 (b). In this mode, the load current is slightly reduced due to the resistance of the load. After t_1 , the load current passes zero, the energy stored in C_{aux} discharged to the load by discharging the mode in Figure 6.14 (c).



Figure 6.15: Instantaneous and Average Output Current and Voltage

The initial voltage on the capacitor C_{aux} is derived by the turns ratio and maximum input voltage production plus a little margin. In normal conditions of pure resistive or grid connection, C_{aux} will be disconnected from the single-staged inverter. The inverter will be the same as the conventional single-staged inverter. The C_{aux} will be charged only when the reactive power tries to find a path to feed back to the DC source, which will increase the capacitor voltage. A comparator network will sense this increasing and disable the DC/DC converter's full-bridge driving signals. And the driving signals to the reactive energy storage network will be enabled. The driving signals for the full-bridge and reactive energy storage network are generated by UCC3895, a full-bridge phase-shift PWM controller plus some logic gates.

To optimize this compensator, a new average current mode controller is applied instead of the average voltage mode as shown in Figure 6.16. Figure 6.17 shows the timing diagram of Figure 6.16.



Figure 6.16: Block Diagram of Single-Staged Inverter with Reactive Energy Storage Network



Figure 6.17: Timing Diagram of Single-Staged Inverter with Reactive Energy Storage Network



Figure 6.18: Pspice Simulation Model of Single-Staged Inverter with Reactive Energy Storage Network

Figure 6.18 shows the Pspice simulation model of the single-staged inverter with the reactive energy storage network using the average current controller. The simulation results are shown in Figure 6.19. The performance of the average current controller is a little better than the

average voltage controller. The current of output filter inductor is regulated to be a sinusoid wave shape.



Figure 6.19: Simulation Results of Schematics in Figure 6.18 (output voltage and current, voltage of C_{aux} and filter inductor current)



Figure 6.20: Simulation Results of Energy Storage Network without and with Feed-Forward Compensator (180Hz)

The power stage gains are different because of the input voltage. If the energy storage network compensator is the same compensator used for the DC/DC converter, a transient step response appears, as shown in Figure 6.20(a). To automatically adjust the gain of the compensator for both modes, a feed-forward compensator is applied and solves the problem as shown in Figure 6.20 (b).

Detailed Pspice simulation results proved the performance of this compensator design for the single-staged inverter at inductive load, as shown in Figure 6.21.



(a) Voltage of C_{aux} and Control Signal for the Energy Storage Network



(c) Inverter Output Voltage and Current

Figure 6.21: Simulation Results of Single-Staged Inverter with Energy Storage Network (60Hz)

CHAPTER SEVEN: PFM ZCS FLYBACK INVERTER SYSTEM DESIGN

7.1. PFM Inverter Introduction

A PFM converter is an alternative DC/DC power converter architecture that uses a variable frequency clock to drive the power switches and transfer energy from input to output. Because switch mode PWM and PFM converters are commonly used in portable devices to maximize the battery life, PFM mode is only enabled when the devices are idle to reduce the switching loss by less switching cycles [45][46][47].

One of the concerns with switch-mode power converters is the generation of unwanted EMI. PWM converters are often preferred by system designers because they operate at a specified known frequency, which may make the EMI filtering design process easier. PFM converters inherently have a variable operating frequency, and therefore many system designers have concerns about using this type of architecture in portable products with sensitive audio or RF subsystems.

Conventional inverters or converters are using PWM to regulate the output voltage or current. For a single-stage inverter system, the output of the DC/DC converter is rectified sinusoid wave form, which results in the DC/DC converter being modulated sinusoidally. The instantaneous output power of the DC/DC converter is also regulated sinusoidally from 0 to its maximum power, which means the light load conditions are happening repeatedly.

The motivations for applying the PFM control on a single-stage inverter are:

1. Increase the power conversion efficiency of single-stage inverter.

Since the output power of a single-stage inverter is not constant, the light load conditions exist in every cycle. If the switch frequency of the light load can be lower than the switch frequency of peak power, system efficiency will be increased.

2. Increase the utilization of the inverter power transformer.

Due to the unique design of the single-stage inverter, the transformer is designed for the peak power instead of average power. Extending the ON time of a power switch at low duty cycle region will increase the utilization of the inverter power transformer and efficiency.

3. The digital controller provides control ability for PFM control to optimize the magnetic flux.

A conventional analog PFM controller for DC/DC converters are: constant ON time, constant OFF time and hysteretic control. For portable devices, the frequency of PFM control is not continuous; a constant low frequency is pre-set for light-load condition and constant high frequency for peak power. By applying a digital controller to a single-stage inverter, the frequency can be modularized continuously and then variation of the power transformer magnetic flux can be reduced dramatically.

4. The digital controller also provides the optimized error compensation to compensate the power stage at different frequency.

The power stage control-to-output transfer function varies with the duty cycle and load condition. The analog controller can only be designed for one of the conditions to meet the stability requirement of the single-stage inverter. The digital controller can vary the digital compensator to meet all the load and duty cycle conditions. Even as the switch frequency is modulated by PFM control, the variable digital compensator still can meet all the situations.

5. A grid-tied single-stage inverter is not noise senility equipment.

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The PFM switching noise can be easily filtered with a line filter. The pre-regulator also isolates the inverter stage with solar cell with a constant current drawn from the source.

The basic signal is PFM ramp signal, which is modulated as the rectified sinusoid reference. The highest frequency happens at the peak of rectified sinusoid reference. As the reference voltage decreases, the switching frequency decreases also.

The PFM inverter can be applied to any topology of a single-stage inverter and a twostage inverter with SPWM. Reducing the switching frequency at a lower power output can effectively increase the power converting efficiency by reducing the switching loss. The switching frequency is limited by design, which facilitates the output filter design. Since the output filter of the inverter tends to have a lower cut-off frequency, the EMI issue of the PFM inverter will be resolved.

7.2 Flyback ZCS Converter Operation Analysis

The PFM inverter proposed above still has shortcoming due to the switching loss. Especially for the flyback topology, the leakage inductance of a flyback transformer primary side winding causes huge voltage spike on the switch when the switch is turning off. Conventionally, a passive RC snubber is applied across the switch to dissipate the energy of leakage inductance. A better solution is called active clamping circuit, which uses an auxiliary switch to form a resonant LC circuit. This LC resonant circuit circulates the energy of leakage inductance and facilitates the switch turning on to achieve zero-voltage-switching [48]. The performance of both solutions depends on the switching frequency of the flyback converter, so they are not ideal solutions for the PFM flyback single-stage inverter. A half-wave quasi-resonant ZCS flyback converter is proposed in [49], which uses a quasi resonant technique to achieve zero current switching for the switch of a flyback converter. A flyback ZCS single-stage inverter is proposed as shown in Figure 7.1. Figure 7.2 shows the simplified equivalent circuit of the flyback ZCS single-stage inverter and the detailed operation stages. The timing diagram is illustrated in Figure 7.3.



Figure 7.1: Flyback ZCS Single-Stage Inverter with PFM Control

The assumptions for the analysis of the flyback ZCS single-stage inverter are:

- 1. The energy stored in the transformer ferrite core does not change in stage 3 and 4.
- 2. V_{CO} is constant and equal to V_O .
- 3. I_{LO} is constant and equal to I_O .
- 4. V_{bus} is constant.
- 5. Transformer turns ratio is *n*.
- 6. f is the switching frequency of converter.
- 7. V_{bus} , I_O , V_O , C_{res} , L_{res} and f and are known parameters.

The power conversion of can be divided into 5 stages:

Stage 1 [t₀, t₁]

This stage starts at the turning on of the flyback switch S_{fly} , and the voltage across the resonant capacitor C_{res} is clamped to the output voltage of the converter. The current of the transformer

secondary winding is freewheeling through the rectifier diode D_{rec} . On the primary side, the current of the primary winding is increased from zero, which means the switch is turning on at zero current without switching loss.

$$i_{Lres}(t) = \frac{V_{bus} + V_O/n}{L_{res}}(t - t_0)$$
(7.1)

As the equation above shows, the current of the primary winding is increased linearly, and the current of secondary winding decreases linearly. In this stage, the secondary winding current is equal to the current of the rectifier diode.

$$i_{Sfly}(t_1) = i_{Lres}(t_1) = nI_O$$
(7.2)

The time duration of this stage is:

$$t_{1} - t_{0} = \frac{nI_{O} \cdot L_{res}}{V_{bus} + V_{O}/n}$$
(7.3)

Stage 2 [t₁, t₂]

As the current of the rectifier diode D_{rec} decreases linearly to zero, D_{rec} is turned off at zero-current. Then, the resonance between L_{res} and C_{res} starts at the I_{cap} equal to zero and I_{Lres} equal to I_{Sfly} .

By applying the superimposing method, the current of the primary and the secondary windings is as follows:

$$i_{Lres}(t-t_1) = i_{Lres}'(t-t_1) + i_{Lres}(t_1),$$
(7.4)

$$v_{Lres}(t-t_1) = L_{res} \frac{di_{Lres}'(t-t_1)}{dt},$$
(7.5)

$$i_{Cres}(t - t_1) = C_{res} \frac{dv_{Cres}(t - t_1)}{dt}$$
(7.6)

and

$$ni_{Cres}(t - t_1) = -i_{Lres}'(t - t_1)$$
(7.7)

$$v_{Cres}(t - t_1) = n v_{Lres}'(t - t_1)$$
(7.8)

$$\frac{d^2 i_{Lres}'(t-t_1)}{dt^2} + \frac{1}{n^2 L_{res} C_{res}} i_{Lres}'(t-t_1) = 0, \ \omega = \frac{1}{n} \sqrt{\frac{1}{L_{res} C_{res}}},$$
(7.9)

then

$$\frac{d^2 i_{Lres}'(t-t_1)}{dt^2} + \omega^2 i_{Lres}'(t-t_1) = 0$$
(7.10)

$$s^{2}I_{Lres}'(s) - si_{Lres}'(0) - \frac{di_{Lres}'(0)}{dt} + \omega^{2}I_{Lres}'(s) = 0$$
(7.11)

$$s^{2}I_{Lres}'(s) - \frac{V_{bus} + V_{O}/n}{L_{res}} + \omega^{2}I_{Lres}'(s) = 0$$
(7.12)

$$I_{Lres}'(s) = \frac{\frac{V_{bus} + V_O/n}{L_{res}}}{s^2 + \omega^2}$$
(7.13)

$$i_{Lres}'(t-t_1) = \frac{V_O/n + V_{bus}}{\omega L_{res}} \sin \omega (t-t_1)$$
(7.14)

therefore

$$i_{Lres}(t-t_{1}) = i_{Lres}'(t-t_{1}) + i_{Lres}(t_{1}) = \frac{V_{bus} + V_{O}/n}{\omega L_{res}} \sin \omega (t-t_{1}) + i_{Lres}(t_{1}) = \frac{V_{bus} + V_{O}/n}{\omega L_{res}} \sin \omega (t-t_{1}) + nI_{O}$$
(7.15)

$$I_{Lres,max} = \frac{V_{bus} + V_O/n}{\omega L_{res}} + nI_O$$
(7.16)

$$v_{Cres}(t-t_1) = v_{Cres}(t_1) + \frac{1}{C_{res}} \int_0^{t-t_1} i_{Cres}(t-t_1) dt = v_{Cres}(t_1) + \frac{1}{nC_{res}} \int_0^{t-t_1} i_{Lres}'(t-t_1) dt$$
(7.17)

$$v_{Cres}(t - t_1) = v_{Cres}(t_1) + \frac{1}{\omega n C_{res}} \frac{V_O / n + V_{bus}}{\omega L_{res}} (\cos \omega (t - t_1) - 1)$$

= $v_{Cres}(t_1) + (V_O + n V_{bus}) (\cos \omega (t - t_1) - 1)$ (7.18)

$$v_{Cres}(t-t_1) = V_O + (V_O + nV_{bus})(\cos\omega(t-t_1) - 1) = (V_O + nV_{bus})\cos\omega(t-t_1) - nV_{bus}$$
(7.19)

$$V_{Cres,\min} = -V_O - 2nV_{bus} \tag{7.20}$$

$$V_{Cres,\max} = V_O \tag{7.21}$$

and therefore

$$v_{Cres,pkpk} = 2V_O + 2nV_{bus} \tag{7.22}$$

$$v_{Drec}(t - t_1) = v_{Cres}(t - t_1) - V_0$$
(7.23)

$$v_{Drec,\min} = -2V_O - 2nV_{bus} \tag{7.24}$$

$$v_{Drec,\max} = 0 \tag{7.25}$$

$$v_{Cres,pkpk} = 2V_O + 2nV_{bus} \tag{7.26}$$

To simply the expression of t_2 , $i_{Lres}(t)$ can be linearized in the region of $[t_2', t_2]$ as:

$$i_{Lres}(t-t_{2}') = -\frac{V_{bus} + V_{O}/n}{L_{res}}(t-t_{2}') + i_{Lres}(t_{2}') = -\frac{V_{bus} + V_{O}/n}{L_{res}}(t-t_{2}') + nI_{O}$$
(7.27)

At the end of region $[t_2', t_2]$, $i_{Lres}(t)$ goes to zero, therefore

$$0 = -\frac{V_{bus} + V_O/n}{L_{res}} (t_2 - t_2') + nI_O$$
(7.28)

$$t_2 - t_2' = \frac{nL_{res}I_O}{V_O/n + V_{bus}} = t_1 - t_0$$
(7.29)

The total energy delivered from the primary side of the transformer can be calculated as:

$$E = \int_{t_0}^{t_1} V_{bus} \cdot i_{Lres}(t-t_0) dt + \int_{t_1}^{t_2'} V_{bus} \cdot i_{Lres}(t) dt + \int_{t_2'}^{t_2} V_{bus} \cdot i_{Lres}(t) dt$$

$$= 2V_{bus} \int_{t_0}^{t_1} i_{Lres}(t-t_0) dt + V_{bus} \int_{t_1}^{t_2'} i_{Lres}(t) dt$$

$$= 2V_{bus} \int_{t_0}^{t_1} \frac{V_{bus} + V_O/n}{L_{res}} (t-t_0) dt + V_{bus} \int_{t_1}^{t_2'} \left[\frac{V_{bus} + V_O/n}{\omega L_{res}} \sin \omega (t-t_1) + nI_O \right] dt$$

$$= \frac{V_{bus}}{V_{bus} + V_O/n} \cdot n^2 I_O^2 L_{res} + 2V_{bus} \frac{V_{bus} + V_O/n}{\omega^2 L_{res}} + nV_{bus} I_O \frac{\pi}{\omega}$$
(7.30)

Stage 3 [t₂, t₃]

Stage 3 starts as the current of primary winding reaches zero, and the resonance between L_{res} and C_{res} stops due to the blocking of D_{fly} . In this stage, the resonant capacitor C_{res} is discharging through the secondary side winding of the transformer by resonance. As the discharging continues, the voltage across the resonant capacitor C_{res} changes its polarity. In stage 3, before the blocking diode is conducted, the voltage across the blocking diode can be illustrated as:

$$v_{Dfly}(t) = -v_{Cres}(t) - V_{bus}, \quad v_{Sfly}(t) = 0 \quad (v_{Cres}(t) < 0)$$
(7.31)

$$v_{Sfly}(t) = v_{Cres}(t) + V_{bus}$$
 $v_{Dfly}(t) = 0$ $(v_{Cres}(t) > 0)$ (7.32)

therefore

$$V_{Dfly,\max} < -V_{Cres,\min} / n - V_{bus} = V_O / n + 2V_{bus} - V_{bus} = V_O / n + V_{bus}$$
(7.33)

$$V_{Sfly,\max} = V_{Cres,\max} / n + V_{bus} = V_O / n + V_{bus}$$
(7.34)

Stage 3 ends when the voltage of C_{res} reaches V_0 .

$$v_{Cres}(t_3) = 2v_{Cres}(t_2) + \frac{I_{sec}}{\omega_{sec}C_{res}} \sin \omega_{sec}(t_3 - t_2) - v_{Cres}(t_2) \cos \omega_{sec}(t_3 - t_2) = V_0$$
(7.35)

Then, the voltage across the switch $S_{\mbox{\scriptsize sfly}}$ is

$$v_{Sfly}(t_3) = V_O \tag{7.36}$$

The turning off signal of the flyback switch is sent at stage 3.

Stage 4 [t₃, t₄]

Stage 4 starts when the resonant capacitor voltage reaches to the voltage across C_0 , then the rectifier diode turns on at zero voltage, and the transformer secondary side winding starts to charge output capacitor C_0 . Stage 4 stops at the driving signal uprising edge of the flyback switch.

In this stage, the current of the transformer secondary winding decreases linearly:



Figure 7.2: Detailed Analysis of Flyback ZCS Single-Stage Inverter



Figure 7.3: Timing Diagram of Flyback ZCS Single-Stage Inverter

Figure 7.3 shows the detailed timing diagram for the 5 stages shown in Figure 7.2. As Figure 7.3 shows, the flyback switch S_{fly} is turning on and off at zero current and the rectifier diode at the secondary side is turning off at zero current also. The proposed flyback ZCS singlestage inverter has zero switching loss both on the primary and the secondary side of the transformer. Only conduction loss exists. As Figure 7.4 shows, the simulation results of the flyback ZCS single-stage inverter is the same as Figure 7.3. And the key parameters for the flyback ZCS converter shown in Figure 7.1 are summarizes in Table 7.1, which will be used to design a prototype.



Figure 7.4: Simulation Results of Proposed Flyback ZCS Converter

	Transformer turns ratio: 1:n
I _{Sfly,max}	$\frac{V_{bus} + V_O/n}{\omega L_{res}} + nI_O$
V _{Sfly,max}	$V_O/n + V_{bus}$
I _{Dfly,max}	$\frac{V_{bus} + V_O/n}{\omega L_{res}} + nI_O$
$V_{Dfly,max}$	$V_O/n + V_{bus}$
I _{Lres,max}	$\frac{V_{bus} + V_O/n}{\omega L_{res}} + nI_O$
I _{Cres,max}	$\frac{V_{bus}/n + V_O/n^2}{\omega L_{res}} \frac{V_{bus} + V_O/n}{\sqrt{L_{res}/C_{res}}}$
$V_{Cres,pkpk}$	$2V_O + 2nV_{bus}$
V _{Drec,max}	$2V_O + 2nV_{bus}$
I _{Drec,max}	I_o
ω	$\frac{1}{n}\sqrt{\frac{1}{L_{res}C_{res}}}$
Pout	$\left(\frac{V_{bus}}{V_{bus}+V_O/n} \cdot n^2 I_O^2 L_{res} + 2V_{bus} \frac{V_{bus}+V_O/n}{\omega^2 L_{res}} + nV_{bus} I_O \frac{\pi}{\omega}\right) \cdot f$

Table 7.1 Key Parameters of Flyback ZCS Converter

7.3 Flyback ZCS Single-Stage Inverter

Based on the flyback ZCS converter analysis above, a flyback ZCS single-stage inverter is proposed. The control algorithm is pulse frequency modulation as illustrated in Figure 7.5.



Figure 7.5: Control Algorithm of Flyback ZCS Single-Stage Inverter

As Figure 7.5 shows, the on time of the flyback switch is constant. But the off time is varied accordingly to generate rectified sinusoidal current. A closed loop control is formed to adjust the frequency of the constant on pulses. A Psim simulation is performed as shown in Figure 7.6 and simulation results are given in Figure 7.7.



Figure 7.6: Proposed Flyback ZCS Single-Stage Inverter



Figure 7.7: Simulation Results of Flyback ZCS Single-Stage Inverter

7.4 Optimization of Flyback ZCS Single-Stage Inverter

In stage 5, the resonant capacitor is clamped at the output voltage of the inverter. The current of the secondary side transformer winding decreases linearly. As the off time increases, the current of the secondary side winding can decrease to zero, as shown in Figure 7.8



Figure 7.8: Timing Diagram of Flyback ZCS Single-Stage Inverter When Isec=0

When the current of the transformer secondary winding reaches zero, the resonant capacitor C_{res} starts to be resonant with the transformer secondary winding. As illustrated in Figure 7.8, the resonance will cause the oscillation of C_{res} voltage, which affects the energy transferred from the primary side to the secondary side in every switching cycle.

$$E = \frac{1}{2}CV^2 - \frac{1}{2}CV^2$$
(7.38)

A simulation was performed to prove the analysis in Figure 7.8 is correct as shown below.



Figure 7.9: Simulation Results of Flyback ZCS Single-Stage Inverter with Less Inductance of Transformer Secondary Winding at Lower Switching Frequency

As the equation above shows, at a certain switching frequency region, as the switching frequency decreases, the output power of the flyback ZCS inverter is increasing instead of decreasing.

When the secondary side winding goes to zero and the rectifier diode turns off, the resonance happens between C_{res} and the secondary winding of transformer. Depending on the off time, the resonance could happen in multiple cycles or in less than one cycle.

To demonstrate this nonlinear transfer function between the switching frequency and output power, a simulation was performed, which scanned the switching frequency from 0 Hz to 500 kHz. And the output voltage vs. frequency is plotted in Figure 7.10.



Figure 7.10: Simulation Results of Nonlinear Transfer Function between the Switching Frequency and Output Power

Figure 7.10 illustrates the nonlinear transfer function of PFM control for the flyback ZCS converter due to the inductance of the transformer secondary winding. As the inductance decreases, the nonlinearity becomes worse and worse, and the nonlinearity happens at a higher and higher switching frequency. The nonlinearity will cause the distortion of the inverter output voltage as shown in Figure 7.11. This distortion is caused by the nonlinearity of PFM control and cannot be solved by any feedback control. It can only be relieved by using a larger inductance of transformer as illustrated in Figure 7.10.



Figure 7.11: Distortion Caused by Nonlinearity of Flyback ZCS DC/DC Converter

Figure 7.12 proposed an optimized flyback ZCS single-stage inverter by using the synchronous rectification technique, which will eliminate completely the nonlinearity. The detailed analysis of this optimized flyback ZCS single-stage inverter is shown in Figure 7.13 and Figure 7.14.



Figure 7.12: Optimized Flyback ZCS Single-Stage Inverter without Nonlinearity



(a) $[t_0, t_1]$











(e) $[t_4, t_5]$

Figure 7.13: Detailed Operation Stages for Optimized Flyback ZCS Single-Stage Inverter with Synchronous Rectification



Figure 7.14: Timing Diagram of Optimized Flyback ZCS Single-Stage Inverter with Synchronous Rectification

The power conversion of optimized flyback ZCS single-stage inverter is the same as the power conversion analysis shown in Figure 7.2 and Figure 7.3 if the switching frequency is higher than the critical switching frequency. The definition of critical switching frequency for the flyback ZCS single-stage inverter shown in Figure 7.1 and Figure 7.12 is the switching frequency which has the off time to let the secondary side winding current decrease zero. For the power conversion at a switching frequency higher than critical frequency, the detailed analysis of the power conversion is:

Stage 1: [t₀, t₁].

This stage starts at the turning-on of flyback switch S_{fly} and synchronous switch S_{res} at zero current without any switching loss. The voltage across the resonant capacitor C_{res} is clamped to the output voltage of the converter when the switches are turned on. In this stage, the resonant inductor on the primary side L_{res} starts to be resonant with the resonant capacitor. The initial current of L_{res} is zero and the initial voltage of C_{res} is Vo. This stage ends when the current of I_{Sfly} reaches zero and voltage of C_{res} reaches its maximum value.

The diode in series with S_{fly} will block the resonant current of L_{res} from going in the inverse direction. Then, the current of S_{fly} will remain at zero.

Stage 2 and 3: [t₁, t₂] and [t₂, t₃].

At stage 2, the energy stored in the resonant capacitor is transferred to the secondary winding of the flyback transformer by resonance. As the current of L_{sec} is increasing, the voltage across C_{res} is decreasing. Stage 2 ends with the down slope of the driving signal. Stage 3 starts at the turning-off of S_{fly} and S_{res} . The body diode of S_{res} starts to conduct current, and then S_{fly} is turned off at zero current and S_{res} is turned off at zero voltage. The current of L_{sec} reaches its maximum value when the voltage of C_{res} reaches zero. Then, the resonant capacitor C_{res} is charged inversely. This stage stops when the voltage of C_{res} reaches the value of Vo. Stage 3 ends when the resonant capacitor voltage reaches V_O .

Stage 4: [t₃, t₄].

Stage 4 starts when the resonant capacitor voltage reaches to the voltage across C_0 , then the rectifier diode turns on at zero voltage and the transformer secondary side winding starts charging output capacitor C_0 . Stage 4 stops when the current of the transformer secondary winding reaches zero and the body diode of S_{fly} is off. Stage 4 ends when the current of the transformer secondary winding reaches zero.

Stage 5: [t₄, t₅].

In stage 5, the voltage of C_{res} is clamped at V_o and both S_{fly} and S_{res} remain off. This stage ends at the uprising edge of S_{fly} and the S_{res} driving signal.



Figure 7.15: Simulation Results of Optimized Flyback ZCS Single-Stage Inverter with Synchronous Rectification When the Switching Frequency is Lower than the Critical Frequency

Figure 7.15 uses simulation to prove the analysis of the optimized flyback ZCS singlestage inverter with synchronous rectification. In comparison, the transfer functions of the optimized flyback ZCS single-stage inverter with rectification are shown in Figure 7.16. The optimized design makes the output voltage of the single-stage inverter monotonically increasing as the frequency increases, which makes the optimized flyback ZCS converter much more suitable for a single-stage inverter.

Figure 7.16: Simulation Results of Transfer Function of Optimized Flyback ZCS Single-Stage Inverter with Rectification

7.5 PFM ZCS Flyback DC/DC Converter V.S. PWM Flyback DC/DC Converter

Comparing the conventional PWM flyback DC/DC converter with the PFM ZCS flyback DC/DC converter in the application of single-stage inverter as illustrated in Figure 7.17, the PWM flyback DC/DC converter has the same switching frequency independent of output voltage and power. The output voltage and power are modulated by the duty cycle of each switching cycle. The PFM flyback DC/DC converter has constant on time independent of the output voltage and power. The output voltage and power are modulated by the frequency of the switching cycles. The main power loss of the DC/DC converter is from the switching loss, therefore the PWM converter will have more switching cycles and more power loss.

Figure 7.17: PWM vs. PFM

As Figure 7.18 shows, the control system of the PFM ZCS flyback inverter is made of an error amplifier, a voltage controlled oscillator (VCO), a one shot multivibrator and an isolating MOSFET driver circuit. Figure 7.19 illustrates the timing diagram of this converter. The output VCO is a 50% duty cycle pulsing signal and the frequency of VCO output has linear dependence on the output of the error amplifier. The uprising edge of the VCO output pulse triggers the one shot multivibrator, and the period of the multivibrator output pulse is constant, which will guarantee the ZCS operation of the PFM ZCS flyback DC/DC converter.


Figure 7.18: System Block Diagram of PFM ZCS Flyback Single-Stage Inverter



Figure 7.19: Timing Diagram of PFM ZCS Flyback DC/DC Converter

In addition to the benefit of fewer switching cycles, the PFM ZCS flyback DC/DC converter also demonstrates superiority in terms of stress on the MOSFET and rectifier diodes. Figure 7.20 shows the comparison between a conventional PWM flyback DC/DC converter and the PFM ZCS flyback DC/DC converter. This comparison is made on the simulation models for both converters, which have the same input voltage, transformer model, MOSFET and rectifier diodes. Due to the leakage inductance of the transformer, the voltage on the MOSFET and the rectifier diode of a conventional PWM flyback converter has severe ringing, and the highest ringing voltage is about 1kV for the rectifier diode and 450V for the MOSFET as shown in

Figure 7.20 (a). In the case of the PFM ZCS flyback converter, the voltage across the MOSFET and the rectifier diode are only 550V and 150V as shown in Figure 7.20 (b).



(a) Conventional PWM Flyback

(b) PFM ZCS Flyback

Figure 7.20: Voltage and Current of MOSFET and Rectifier Diode

The small signal power stage transfer functions of these two converters are different also. The small signal power stage transfer function analysis of the conventional PWM flyback converter and PFM ZCS flyback converter are illustrated in Figure 7.21. A certain frequency of small AC signal is injected into the error amplifier output signal V_{EA} , which will cause a small variation on the duty cycle of the PWM converter and a small variation on the switching frequency of the PFM converter. As Figure 7.21 shows, the frequency variation is equivalent to the duty cycle variation with small AC signal injection.

Figure 7.22 illustrates the simulation schematics for the power stage transfer function of the conventional PWM flyback and the PFM ZCS flyback DC/DC converters. Figure 7.23 shows the simulation results in bode plot format. Note, there are five waveforms listed, which are the power stage transfer functions at switching frequency of 100kHz, 200kHz, 300kHz, 400kHz and 500kHz to represent the PFM inverter output voltage



Figure 7.21: Small Signal Power Stage Transfer Function Analysis



(a) Conventional PWM Flyback

(b) PFM ZCS Flyback

Figure 7.22: Schematics of Small Signal Power Stage Transfer Function Analysis

The simulation results of the power stage transfer function of the two converters are illustrated in Figure 7.23. The conventional PWM flyback has a typical -40dB/decade two-pole system, but the PFM ZCS flyback has about -20dB/decade with the same load. Also, the phase delay of the PFM ZCS flyback converter as a function of frequency changes much smoother, which makes the compensator design much easier. From 100kHz to 500kHz, the PFM ZCS flyback also demonstrates power stage transfer functions that have much less dependence on the effective duty cycle variation, which also make the compensator design easier. All these features of the PFM ZCS flyback converter provide superiority to the conventional PWM flyback converter on the application of a single-stage inverter in terms of efficiency, voltage/current stress and control ability.



(a) Conventional PWM Flyback

(b) PFM ZCS Flyback

Figure 7.23: Simulation Results of Power Stage Transfer Function Small Signal Analysis

CHAPTER EIGHT: EXPERIMENTAL VERIFICATION



8.1 Prototype of Single-Stage Inverter with Grid-Tie

Figure 8.1: Prototype of Full-Bridge Phase-Shift Single-Stage Inverter

Figure 8.1 shows pictures of the DC/DC converter prototype for the single-stage inverter, which is proposed in Figure 4.1(b) and Figure 4.2. The prototype is made of two print circuit boards (PCB): the controller board and the power stage board. In this way, the high voltage components and trace will be confined on the power stage board and the low voltage components and trace on the controller board. Another benefit of this structure is that the power stage prototype need not change too much, but the controller board may change a lot. By putting these onto two different boards, optimization can be much easier. The drivers' circuit is on the power stage board to make sure that it is as near to the MOSFETs as possible to eliminate the noise and parasitic inductance affections.



Figure 8.2: Experimental Results of V_{ref}, Output Current and Voltage

As Figure 8.2 shows, the output current reference signal is generated by the absolution circuit from a sinusoid signal, which is generated by a function generator. The load is a 52Ω resistor. The RMS. value of output voltage and current is 110V and 2.2A.

As Figure 8.2 shows, the output current follows the reference strictly. After the properly designed output filter, the output current has very little noise and distortion. The next stage will be the unfolding circuit, which will invert this rectified sinusoid current and voltage into sinusoid. With this kind of rectified current, the output of the inverter can achieve very high power factor and power quantity.

The peak value of the output voltage and the current is 160V and 3.1A. The peak output power is 450Watts, which is limited by the power rating of a single channel solar array simulator. Zero crossing is still a small problem. A very small DC offset, such as 50mV, can solve the problem.



Figure 8.3: Experimental Results of Output Current, V_{DS} and V_{GS} of Switch A in Figure 4.2



Figure 8.4: Experimental Results of Output Current, V_{DS} and V_{GS} of Switch B Figure 4.2



Figure 8.5: Experimental Results of Output Current, V_{DS} and V_{GS} of Switch C in Figure 4.2



Figure 8.6: Experimental Results of Output Current, V_{DS} and V_{GS} of Switch D in Figure 4.2

To examine the switching process of the full bridge at steady state, the reference of the DC/DC converter was changed from rectified sinusoid to pure DC and then the DC/DC converter output to pure DC voltage. Figure 8.3, Figure 8.4, Figure 8.5 and Figure 8.6 show the output current, V_{DS} and V_{GS} of switches A, B, C and D. The load is 52 Ω resistor and the output current of these figure are 0.1A, 1.1A 1.8A and 2.9A, and therefore these figures show the load of 0.5Watt, 62Watts, 182Watts and 440Watts. At light load, all the switches are turned on under "hard switching". As the load increases, all the switches are turned on under "soft switching",

which is zero voltage switching. That is to say, before the V_{GS} goes to high, V_{DS} already goes to zero.

The transition time or the dead time was set to be 200ns as a constant. Therefore, at light load, the dead time is so long that V_{DS} goes up higher than zero in Figure 8.3(b), and it is so short at high load that V_{DS} hasn't fully reached zero in Figure 8.5(d) and Figure 8.6(d). To solve this problem, a more adaptive delay time will be applied.



Figure 8.7: Experimental Results of Output Current, Transformer Primary and Secondary Side Voltage

Figure 8.7 shows the voltage of the primary and the secondary side of the transformer with increasing of output current. Without any snubber on the secondary side and an improper

choice of clamping diodes on the primary side, the ringing is still a problem. To conquer it, a fast recovery Schottky diode will be applied. A resistor and a capacitor in series can damp the ringing further. The experiment's results show that this method will cause extra power loss and thermal problems.

The small steps on the primary side of the transformer are caused by leakage inductance of the transformer. The leakage inductance will store energy during the conduction mode. During the freewheeling mode, the stored energy will help to oscillate the voltage of the other diagonal switches' voltage to zero and facilitate the ZVS.

Due to the optimized transformer and zero voltage switching, the overall efficiency is greater than 91% at 480Watts, with 100kHz switching frequency.



Figure 8.8: Prototype of 1kW Single-Stage High-Frequency Link Inverter System

Figure 8.8 shows the 1kW prototype of the single-stage high-frequency link inverter system. Due to the resonance of the full-bridge phase shift topology, the output capacitance of the MOSFET is discharged to zero before the MOSFET is turned on. Figure 8.6 shows all four switches of the full bridge operating under ZVS.



Figure 8.9: Experimental Results of Single-Stage Inverter Output Current I_{OUT} (Green, 10A/Div) and Utility Grid Voltage V_{UT} (Red, 100V/Div, 5ms/Div) in Grid Connection Mode



Figure 8.10: Experimental Results of Single-Stage Inverter Output Current I_{OUT} (Green, 5A/Div) and Inverter Output Voltage V_{OUT} (Red, 100V/Div, 5ms/Div) in Stand-Alone Mode



Figure 8.11: Experimental Results of Single-Stage Inverter Output Current I_{OUT} (Green, 1A/Div) and Control Signals (Blue, Red and Yellow, 50ms/Div) in Transition from Grid-Connection to Stand-Alone Mode and from Stand-Alone to Grid-Connection Mode

Figure 8.9 shows the experimental result of a 1kW single-stage inverter in the grid connection mode. Figure 8.10 shows the output voltage and current of the inverter in the standalone mode. By making two DC/DC converters in series, the inverter output voltage is $240V_{RMS}$. As Figure 8.11 shows, when abnormal and unstable utility grid voltage appears, the inverter shuts down the connection with the utility grid and powers the stand-alone load without transferring PV array power energy into the grid. When utility grid voltage becomes stable and normal, the inverter connects to the grid and powers both the stand-alone load and the utility grid.

8.2 Prototype of Single-Stage Bi-Directional Inverter

Figure 8.12 shows the prototype of an optimized single-staged bi-directional inverter system, which is proposed in Figure 6.1 (c). The full-bridge switches and MOSFET rectifiers are located near the primary and secondary winding of the transformer, so the leakage inductance has been greatly minimized as shown in Figure 8.12 (a). The MOSFET driver circuit was on a seperate PCB board as shown on Figure 8.12 (b). To have a separate PCB board for the MOSFET driver can make the power trace on the power stage much wider, which will simplify the layout of power stage. Another benefit is that a MOSFET driver board can be utilized for the next version of the prototype, since the driver circuit is well analyzed and developed. There is no need for updating the driver circuit further. The controller board and all the driver boards are using the SMD components and SOIC IC, which reduced the size of PCB board greatly. The experimental results are illustrated in Figure 8.13.



(a) Power Stage (b) Non-Isolated Driver (c) Controller Board

Figure 8.12: Prototype of Optimized Single-Staged Bi-Directional Inverter System



Figure 8.13: Experimental Results

8.3 Single-Stage Bi-Directional Inverter with Flyback Converter



Figure 8.14: Single-Stage Bi-Directional Inverter with Flyback Converter

Figure 8.14 illustrates a prototype and preliminary experimental result for the single-stage bi-directional inverter with flyback DC/DC inverter as shown in Figure 6.8. A flyback converter is in parallel with a full-bridge phase-shift DC/DC converter and is handling the reactive energy from the inductive load.

8.4 Prototype of PFM ZCS Flyback Inverter

Figure 8.15 illustrates a prototype of the PFM ZCS flyback inverter proposed in Figure 7.1. The PFM flyback inverter includes a flyback ZCS converter and unfolding circuit as the power stage. The controller circuit has a PFM circuit, a driver circuit, an error compensator and an auxiliary power supply. This prototype is built on a four-layer PCB board, which has been laid out and manufactured as shown in Figure 8.15. This prototype is rated at 250Watts, with proper thermal pad design for the surface mounted MOSFETs and diodes, and this prototype has no heat sink. With further planar transformer design, this prototype can be designed into a very tight package.











Figure 8.16: Experimental Results of PFM ZCS Flyback Inverter

Figure 8.16 illustrates the experimental results of the PFM ZCS flyback inverter. The yellow waveform is the gate driving signal, the blue waveform is current flow through the MOSFET S_{fly} , the green waveform is the voltage across the MOSFET S_{fly} and the magenta waveform is the voltage across the blocking diode D_{fly} . Compared with Figure 7.3 and Figure 7.4, the experimental results prove the analytical and simulation results.



Figure 8.17: Experimental Results of PFM ZCS Flyback Inverter



Figure 8.18: Experimental Results of PFM ZCS Flyback Inverter





(b)







(g)



(f)













Figure 8.16 shows the experimental results of the ZCS switching process of the PFM ZCS flyback inverter. At the uprising edge of the driving signal, the current of S_{fly} is increasing linearly from zero with zero switching on loss. The current of S_{fly} has already reached zero before S_{fly} is turned off, so there is no switching off loss either.

Figure 8.17, Figure 8.18 and Figure 8.19 illustrate how the PFM technique is applied to generate sinusoidal inverter output voltage. As the switching frequency increases, the output voltage is increasing sinusoidally. At any time, the switching process is under the zero current condition.

To verify system efficiency, the thermal images are taken by a thermal camera as illustrated in Figure 8.20. The thermal images show that hot spots of the PFM ZCS flyback single-stage inverter prototype are located on the resonant capacitors and resonant inductor. The MOSFET, blocking diode and rectifier diodes are not hot spots, which prove that this topology has less switching loss than a conventional flyback converter.

The hot spot on the resonant inductor reveals that the magnetic design of the resonant inductor is causing extra loss. By applying a larger ferrite core, system efficiency is increased from 90.5% to 91.5% at 150Watts.

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Figure 8.20: Thermal Images of PFM ZCS Flyback Single-Stage Inverter Prototype

CHAPTER NINE: CONCLUSION

Compared with a conventional two-stage inverter, the single-stage inverter has better performance on system efficiency. By applying the active filter as pre-regulator of the singlestage inverter, the low frequency current ripple can be eliminated from the PV array. The capacitance of input filter then can be reduced, and the lifetime of the capacitor will be increased. A feedforward and a 120Hz sample and hold controller will be applied to enhance the singlestage inverter's response speed to the PV array's output voltage variation.

Transformer analysis for the single-stage inverter proves that there is no low frequency harmonics in the transformer's magnetic flux. The adaptive slope compensation is developed to keep the single-stage inverter system stable when the duty cycle is greater than 0.5 and to keep the control loop loop gain near constant with different input voltage. To apply the digital controller on a single-stage inverter system, a detailed design procedure and optimization method have been provided.

A conventional single-stage bi-directional inverter uses MOSFETs as rectifiers on the secondary side of the transformers, which tends to generate higher ringing voltage spikes than diodes. Two new bi-directional single-stage inverter topologies are proposed and analyzed. Based on the new topologies, a new architecture of a PV based grid-tied DC/AC system is also proposed, which is more suitable for residential PV array application than a centralized inverter system.

To increase the efficiency of the single-stage inverter system, a new ZCS flyback inverter with PFM control is proposed. With further optimization, the non-linearity of the PFM ZCS flyback inverter is completely removed. All the inverter topologies are verified by experimental prototypes.

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